

22 identified with increasing normal stress: 1) the stress drop ($\tau_p - \tau_{ss}$) increases linearly, 2) the
23 characteristic thermal weakening distance (D_{th}) decreases as a power law function except for wet
24 clay-rich gouges, and 3) the fracture energy (W_b) shows no dependence. However, fracture energy
25 does vary with sample type. Clay-rich gouges under wet conditions have the lowest fracture
26 energy, and fracture energy for both dry and wet gouges is at least an order of magnitude lower
27 than estimates from intact rocks. Therefore when clay-rich lithologies are present, they may
28 minimize spatial variations in frictional behavior, allowing earthquakes to propagate to the trench.
29 For Cascadia input sediments, there is little variation in the fracture energy between lithologies,
30 but the fracture energy of Cascadia sediments is around an order of magnitude higher than input
31 sediments from other subduction margins. The high fracture energy of Cascadia sediments relative
32 to other subduction margins may inhibit large amounts of shallow earthquake slip and dynamic
33 overshoot.

34

35 **1 Introduction**

36 The 2004 Sumatra (M_w 9.1-9.3) and 2011 Tohoku-Oki (M_w 9.1) earthquakes emphasized that
37 damaging tsunamis are promoted by large amounts of shallow coseismic slip during megathrust
38 earthquakes (Bletery et al., 2016; Lay, 2018). Shallow slip requires rupture to propagate through
39 the accreted sediments updip of the seismogenic zone. Clay-rich pelagic and hemipelagic
40 sediments host the décollement zone at many subduction margins, such as Barbados, Costa Rica,
41 the Japan Trench, and northern Cascadia (Han et al., 2017; Ikari et al., 2018; Moore et al., 2015;
42 Vrolijk, 1990). The frictional properties of clay-rich sediments, particularly at high velocity, are
43 therefore a primary control on earthquake propagation near the trench (Faulkner et al., 2011; Ikari
44 and Kopf, 2017). For example, slip during the 2011 Tohoku-Oki earthquake most likely localized

45 into clay-rich sediments (Chester et al., 2013; Kirkpatrick et al., 2015; Rabinowitz et al., 2020).
46 Existing laboratory rock friction measurements indicate that at fast (~m/s) sliding velocities, clay-
47 rich sediments weaken rapidly with slip and are characterized by low fracture energy (e.g. Faulkner
48 et al., 2011; Sawai et al., 2014; Ujiie et al., 2013).

49

50 Earthquake fracture energy has been defined as the work done during dynamic weakening (Palmer
51 and Rice, 1973) that includes an unknown partitioning between energy dissipated during fracturing
52 and/or plastic deformation and heat dissipation during frictional sliding (Tinti et al., 2005).
53 Fracture energy dictates the energy required for rupture propagation and the local accelerations on
54 a fault during slip, and low fracture energy may encourage propagation to the trench during large
55 megathrust earthquakes. The slip-weakening model describes the shear stress at a point on a fault
56 during an earthquake as it evolves with slip. Shear stress increases to a peak, then decays to a
57 steady state shear stress over a characteristic amount of slip, the slip weakening distance (Ida,
58 1972; Palmer and Rice, 1973). In this model, fracture energy (G) is defined as the work performed
59 as shear stress decays linearly over the slip weakening distance and is written as

$$60 \quad G = \frac{1}{2}(\tau_p - \tau_{ss})D_c \quad (1)$$

61 where τ_p is the peak shear stress prior to the onset of weakening, τ_{ss} is the steady state shear stress,
62 and D_c is the slip weakening distance. A general expression for fracture energy that does not rely
63 on a particular shear stress evolution was defined by Abercrombie and Rice (2005) as

$$64 \quad G(\delta) = \int_0^\delta [\tau(\delta') - \tau(\delta)]d\delta' \quad (2)$$

65 where τ is shear stress, δ' is slip and δ is final slip. On the shallow portions of subduction zones,
66 where the lithostatic load is low, incremental depth changes result in relatively significant changes

67 in normal stress when compared to the total lithostatic load. Thus, the energy budget for shallow
68 earthquake slip may be sensitive to depth depending on how fracture energy, frictional heating,
69 and radiated energy change with normal stress.

70

71 Seismological estimates of fracture energy (hereafter G') are derived from seismic waveforms
72 rather than direct measurements of shear stress on a fault. Estimates of seismological fracture
73 energy indicate that total fracture energy for an earthquake scales as a power law function of the
74 total slip (Abercrombie and Rice, 2005; Nielsen et al., 2016). Tsunami earthquakes, which occur
75 on the shallow parts of some subduction zones, deviate from this general trend. These earthquakes
76 can have significant amounts of slip but lack high frequency radiated energy, instead dissipating a
77 larger fraction of their total energy as fracture energy relative to ordinary earthquakes (Kanamori,
78 1972; Venkataraman and Kanamori, 2004). The causes of tsunami earthquakes remain unclear,
79 but the significant differences in the dynamics of tsunami earthquakes suggest fracture energy of
80 sediments in the accretionary prism has important implications for rupture propagation.

81

82 At the Cascadia subduction zone, little is currently known about the frictional behavior of the
83 shallow part of the megathrust. Paleoseismic records indicate a history of M_w 8 and M_w 9
84 earthquakes that ruptured all or significant portions of the subduction zone, suggesting rupture to
85 the trench may be a common feature of large events at this margin (Goldfinger et al., 2012), though
86 there are few small to moderate earthquakes to define the seismogenic zone limits. Geodetic
87 measurements and heat flow data that indicate the thermally defined updip limit to the seismogenic
88 zone is near the trench (Li et al., 2018; Oleskevich et al., 1999). At low velocity, sediments from
89 the input section along the southern Cascadia margin have relatively high coefficients of friction

90 of 0.4-0.5 (Ikari and Kopf, 2017), which increases the potential for stored elastic strain energy at
91 shallow depth. However, the high velocity frictional behavior of sediments from Cascadia has not
92 been measured, so the implications for rupture propagation are not fully defined. In this study, we
93 conducted high velocity friction experiments on drill core samples of sediments from the Juan de
94 Fuca plate that are the input to the Cascadia subduction zone to explore the effects of clay content
95 and normal stress on their frictional behavior. We also measured the frictional behavior of
96 individual clay species and compare our results to previously reported studies on gouges from
97 other plate boundary faults to investigate whether Cascadia may be more prone to rupture to the
98 trench than other margins.

99

100 **2 Materials and Methods**

101 *2.1 Cascadia sample characterization*

102 Core samples were obtained from two Ocean Drilling Program (ODP) sites near the Cascadia
103 subduction zone: Site 888 of Leg 146 (Carson et al., 1995) and Site 1027 of Leg 168 (Fisher et al.,
104 2000) (Fig. 1a). Though neither site sampled the complete input stratigraphic section immediately
105 seaward of the trench, when combined, cores from these sites capture the lithologic variation
106 expected throughout the input stratigraphic section overlying the basalt basement. Three similar
107 stratigraphic units are present at both sites (Fig. 1b): interbedded sand and silt turbidites (Subunit
108 1A at Site 1027/Unit II at Site 888), interbedded silt turbidites and hemipelagic mud (Subunit 1B
109 at Site 1027/Unit III at Site 888), and hemipelagic mudstone (Unit II at Site 1027). An additional
110 unit of indurated mudstone, basalt talus, and diabase sills is present at Site 1027 (Unit III) (Carson
111 et al., 1995; Fisher et al., 2000). At Site 1027, where the mineralogy has been previously
112 characterized, clay content varies by lithology, and includes smectite, illite, chlorite, and kaolinite

113 (Fisher et al., 2000). When the stratigraphy documented at Site 1027 is projected beneath the hole
114 at Site 888, Site 1027 represents a relatively deep section of the stratigraphy near the trench. The
115 hemipelagic mudstone present at Site 1027 represents the material most likely to be present at the
116 décollement based on interpretation of seismic reflection data (Fig. 1c).

117

118 Three samples were selected for high velocity friction experiments to represent the input section
119 to the Cascadia subduction zone: 1) core sample 146-888B-62X-2 (Leg-Site-hole-core-section) is
120 a hemipelagic mudstone recovered from an approximate depth of 534.17 m beneath seafloor
121 (mbsf), 2) core sample 168-1027B-03H-3 is from a layer with sand-sized grains within the
122 interbedded turbidites (17.45 mbsf), and 3) core sample 168-1027B-53X-2 is a hemipelagic
123 mudstone (493.55 mbsf). Sample composition was determined from X-ray diffraction and Rietveld
124 refinement (see supplement for methods) and shows all three samples contain the same clay
125 species, but there are variations in the clay content between lithologies (Table S1; Fig. S1). The
126 Site 1027 sandstone contains 35% clay-sized fraction with the remainder split between quartz
127 (30%) and feldspar (35%), while the Site 888 and 1027 mudstones both contain 45% clay-sized
128 fraction, 20% quartz, and 35% feldspar. The uncertainty of these percentages is on the order of
129 5%. Smectite (montmorillonite), illite, and chlorite were identified in the clay fraction of all three
130 samples (Fig. S2), but the lack of crystallinity prohibits a quantitative analysis of the proportions
131 of phases in the clay fraction.

132

133 *2.2 Experimental procedure for tests on Cascadia samples*

134 Friction experiments were conducted in the servo-controlled low to high velocity rotary shear
135 apparatus (Fig. 2) at Kochi/JAMSTEC (Tanikawa et al., 2012). High velocity tests were conducted

136 on synthetic gouges prepared from the three ODP core samples at 2, 5, and 8 MPa normal stress.
137 Samples were dried in a 60 °C oven overnight before being disaggregated in a mortar and pestle
138 and sieved to <250 μm. For each experiment, 15 g of sample powder was combined with 2 ml of
139 distilled water to produce wet synthetic gouges. These gouges were placed between two annular
140 steel sample holders with inner and outer radii of 15 and 30 mm, respectively, and then surrounded
141 by an inner and outer Teflon jacket. The mechanical contribution of the Teflon was tested by
142 conducting an experiment with no applied normal load and a gap between the sample holders. The
143 shear stress contributed by the Teflon jacket was near zero (see supplement and Fig. S3), and we
144 did not correct for the negligible mechanical influence of the Teflon jacket or o-ring. Gouge
145 samples were pre-compacted by a combination of three manual rotations at <1 MPa normal stress
146 followed by a 40-minute hold at the normal stress condition of the experiment, producing an initial
147 gouge zone thickness of approximately 2-3 mm. All tests were conducted at room temperature
148 (25.5 °C) and humidity (31-34%).

149

150 For the annular sample holder, linear slip velocity varies from the inner to the outer diameter, so
151 an equivalent slip velocity may be defined for analysis. Assuming shear stress is not dependent on
152 velocity, the total frictional work on a fault is $W = \tau v_{eq} A$, where A is the cross-sectional area and
153 v_{eq} is the equivalent slip velocity. The v_{eq} is then defined as

$$154 \quad v_{eq} = \frac{4\pi R(r_1^2 + r_1 r_2 + r_2^2)}{3(r_1^2 + r_2^2)} \quad (3)$$

155 where R is the revolution rate of the motor and $r_1 = 15$ mm and $r_2 = 30$ mm are the inner and outer
156 radii of the sample holder, respectively (Hirose and Shimamoto, 2005). Samples were first
157 deformed at a nominal v_{eq} of 0.5 to 100 μm/s over a total displacement of approximately 100 mm
158 to establish a deformation fabric. Then, samples were deformed at a nominal v_{eq} of 500 μm/s for

159 0.2 rotations, then accelerated at a rate of 0.2 m/s^2 to the target nominal v_{eq} of 1 m/s for 35 rotations
160 for a constant total displacement of 10.5 m (Fig. S4a). At the end of the experiment, v_{eq} was
161 decelerated at the same rate to $500 \text{ }\mu\text{m/s}$ before terminating the experiment.

162

163 *2.3 Experimental procedure for tests on individual clay species*

164 High velocity experiments were also conducted on five samples of commercially available clay-
165 rich materials. The principal clay components for these powders are illite, pyrophyllite,
166 montmorillonite, sericite and talc. The purity, accessory components, and the sources for each
167 gouge type are listed in Table S2. All mineralogical components were identified by X-ray
168 diffraction using Rietveld refinement. The uncertainty of the percentages is on the order of 5%.

169

170 Individual clay species experiments were conducted on a high velocity rotary shear apparatus at
171 Kochi/JAMSTEC (for details see supplement and Tsutsumi and Shimamoto (1997)) at normal
172 loads ranging from 0.7 to 3.25 MPa. A layer of gouge was produced from 1 g of the sample material
173 placed between two solid gabbro slider blocks of a nominal 25 mm diameter. The surfaces of the
174 slider blocks in contact with the gouge were prepared using SiC #80 powder. The layers of gouge
175 were contained by a Teflon sleeve that was manufactured to fit tightly on the slider blocks, the
176 same arrangement used by Mizoguchi et al. (2007). The mechanical contribution of the Teflon was
177 tested by running an experiment with no applied normal load. The shear stress of the Teflon was
178 below the measured shear stresses of the synthetic gouges at their lowest level (see supplement
179 and Fig. S3), and we have not corrected the data for the negligible mechanical influence of the
180 Teflon sleeve. Prior to running the tests at high velocity, the normal load was applied to the sample
181 and the sliding blocks were rotated relative to one another to pre-compact the gouge. The pre-

182 compaction resulted in a gouge layer of approximately 1 mm thickness and porosity of ~50%. For
183 the tests conducted under wet conditions, 0.5 ml of de-ionized water was added to the gouge prior
184 to assembly.

185
186 All tests were run at a nominal v_{eq} of 1.3 m/s with an initial acceleration ramp of ~ 10 m/s² (Fig.
187 S4b). The motor was first accelerated to the desired speed, then engaged with the sample via the
188 magnetic clutch assembly. This accelerated the rotating side of the sample assembly to 1.3 m/s
189 over a slip distance of around 10 cm. When the desired slip distance for an experiment was
190 achieved, the motor was switched off and the sample decelerated due to friction.

191

192 *2.4 Estimation of fracture energy from high velocity experiments*

193 Fracture energy can be estimated from high velocity friction experiments as proportional to the
194 product of shear stress and slip weakening distance. We estimated the breakdown work (W_b) and
195 the thermal weakening distance (D_{th}) directly from the shear stress evolution recorded on the
196 experimental fault (Fig. 2c). W_b is the work associated with dynamic weakening from peak (τ_p) to
197 steady state shear stress (τ_{ss}), equivalent to fracture energy (G), and is considered comparable to
198 seismological estimates of fracture energy (G') (Tinti et al., 2005). W_b is dependent on the
199 breakdown stress drop ($\tau_p - \tau_{ss}$) and the thermal weakening distance (D_{th}), a characteristic slip
200 weakening distance. D_{th} represents the amount of slip necessary for the shear stress to be reduced
201 to $1/e$ of $\tau_p - \tau_{ss}$. Previous definitions for the slip weakening distance (D_c) defined the characteristic
202 distance based on a 95% reduction of the initial $\tau_p - \tau_{ss}$ value (Mizoguchi et al., 2007). We prefer
203 D_{th} because it captures the significant initial phase of weakening triggered by thermally induced
204 weakening mechanisms (Di Toro et al., 2011). To estimate these parameters, the shear stress curve

205 from each experiment was fit with a least-squares approach to the following exponential decay
206 equation as defined by Di Toro et al. (2011)

$$207 \quad \tau = \tau_{ss} + (\tau_p - \tau_{ss})e^{-\frac{\delta}{D_{th}}} \quad (4)$$

208 where τ_{ss} is the steady state shear stress (MPa), τ_p is the peak shear stress (MPa), D_{th} is the thermal
209 weakening distance (m), and δ is the slip accumulated after the peak shear stress (m). W_b was then
210 estimated by integrating under the modeled shear stress curve from the slip at τ_p to D_{th}

$$211 \quad W_b = \int_0^{D_{th}} (\tau_p - \tau_{ss})e^{-\frac{\delta}{D_{th}}} d\delta \quad (5)$$

212 for each experiment.

213

214 **3 Experimental results**

215 *3.1 Cascadia core samples*

216 All of the high velocity experiments (Fig. 3) exhibited a similar stress evolution to previously
217 published results for gouges (e.g. Mizoguchi et al., 2007). Following the run-in phase, v_{eq} was
218 accelerated from 500 $\mu\text{m/s}$ to 1 m/s, which resulted in an increase in shear stress from the initial
219 (τ_0) up to a peak (τ_p), followed by a decay to a lower steady state value (τ_{ss}). τ_0 and τ_p are higher for
220 the Site 1027 sandstone than the Site 888 and 1027 mudstones, however nearly all experiments
221 across the range of normal stresses tested have τ_{ss} around 1-2 MPa (Table 1). Experiments
222 conducted on the Site 1027 sandstone at all normal stresses and the Site 888 and 1027 mudstones
223 at a normal stress of 8 MPa saw an increase of shear stress at the end of the experiment during
224 deceleration, possibly related to dynamic healing or a loss of pore fluids.

225

226 For all three samples, τ_p scales linearly with normal stress and the friction coefficients at peak
227 stress (μ_p) are 0.67 for the 1027 sandstone and 0.40-0.46 for the mudstones (Fig. 4a). These friction
228 coefficients are higher than those observed at slower slip velocities (Fig. S3) because of the
229 increase in shear stress associated with increasing slip velocity (e.g. Cocco and Bizzarri, 2002). τ_{ss}
230 did not change significantly with normal stress and the friction coefficients at steady state (μ_{ss}) are
231 0.04-0.06 for all samples (Fig. 4a). This small variation in the steady state behavior between the
232 samples indicates viscous-type deformation in each experiment, similar to what was observed at
233 the Japan Trench Fast Drilling Project (JFAST) by Ujiie et al. (2013). As a consequence of the
234 minimal change in τ_{ss} with normal stress, $\tau_p - \tau_{ss}$ increases linearly with normal stress and is larger
235 for the sandstone sample (Fig. 4a). However, the thermal weakening distance (D_{th}) decreases with
236 normal stress and is larger for the mudstone samples (Fig. 4b; Table 1). As a result of the opposite
237 dependence on normal stress for these two parameters, there is only a small variation in the
238 breakdown work (W_b) (Fig. 4c; Table 1), which varies by $<2 \text{ MJ/m}^2$ for each sample.

239

240 3.2 Individual clay species

241 Experiments conducted on samples of individual clay species followed the same overall trends in
242 mechanical behavior as the Cascadia samples and the frictional behavior of each clay species was
243 generally similar (also see Faulkner et al. (2011)). Under dry conditions, τ_p and τ_{ss} increase linearly
244 with normal stress for each clay species (Fig. 5a-c). Under wet conditions, τ_p increases linearly,
245 but τ_{ss} does not significantly increase, again suggesting viscous-type deformation (Fig. 5d-f). In
246 both dry and wet experiments, the friction coefficients at peak and steady state are highest for illite
247 and pyrophyllite and lowest for talc and montmorillonite (Fig. S6). For all clay species, $\tau_p - \tau_{ss}$ is
248 smaller for the wet experiments. D_{th} decreases with normal stress under dry conditions for each

249 clay species, but there is no apparent trend under wet conditions with the exception of
250 montmorillonite. Instead, under wet conditions D_{th} ranges over approximately three orders of
251 magnitude. Generally, montmorillonite has the lowest breakdown work (W_b) while pyrophyllite
252 has the highest. W_b shows no dependence on normal stress for most clay species, but there is a
253 possible weak dependence for sericite and montmorillonite under dry conditions and illite under
254 wet conditions. Overall, W_b varies in magnitude between different clay species by up to three
255 orders of magnitude and does not appear to vary systematically with normal stress.

256

257 **4 Discussion**

258 *4.1 Data compilation of high velocity friction experiments*

259 The experiments on Cascadia core samples demonstrate that the breakdown stress drop ($\tau_p - \tau_{ss}$)
260 and thermal weakening distance (D_{th}) are dependent on normal stress, but the breakdown work
261 (W_b) shows little variation. Additionally, of the three Cascadia samples, the Site 1027 sandstone
262 has the highest τ_p and τ_{ss} , suggesting clay content plays a role in determining the overall frictional
263 behavior. Individual clay species deformed under wet conditions have extremely low values for
264 D_{th} and W_b with no clear dependence on normal stress. Together, the two sets of experiments
265 suggest W_b may be independent of normal stress, and that clay content and the presence of water
266 may be significant controls on the overall frictional behavior and fracture energy of a rock, as
267 noted previously (e.g. Faulkner et al., 2011; Ikari et al., 2009).

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269 To further explore the effect of normal stress and understand the role of clay content in the
270 frictional behavior of fault rocks at high slip rates, we compiled laboratory estimates of peak and
271 steady state shear stress, slip weakening distance, and fracture energy from 233 experiments

272 conducted on 5 different machines reported in 20 previous studies (Fig. 6; Table S3). The compiled
273 data were separated into three categories: 1) gouges deformed under wet conditions, 2) gouges
274 deformed under dry conditions, and 3) intact rocks deformed under dry conditions. Previously
275 reported slip weakening distance and fracture energy values were converted to D_{th} and W_b (see
276 supplement Section S3 and Fig. S5 for details).

277

278 The linear scaling of $\tau_p - \tau_{ss}$ with normal stress is a common feature of all datasets (Fig 6a). Most
279 of the compiled gouge experiments were conducted at a normal stress of 5 MPa or less. The data
280 at these low normal stresses show that the constant of proportionality between $\tau_p - \tau_{ss}$ and normal
281 stress decreases with increasing clay content for both wet and dry gouges. Notably, the wet gouges
282 generally have lower slopes than the dry gouges. Intact rocks have a similar range for constants of
283 proportionality as the dry gouges. These results show the $\tau_p - \tau_{ss}$ scaling is a material property
284 dictated by τ_p scaling with normal stress (μ_p) and is not significantly modified by smaller increases
285 in τ_{ss} with increasing normal stress.

286

287 D_{th} decreases as a power law function of normal stress for dry gouges and intact rocks, but there
288 is no clear variation with clay content (Fig. 6b). The power law exponents (i.e. the slopes) appear
289 similar for dry gouges and intact rocks. The wet gouges do not exhibit any clear dependence of D_{th}
290 on normal stress, with values ranging over three orders of magnitude. Within wet gouges, D_{th}
291 behaves systematically for some individual clay species, such as montmorillonite, while others
292 show no trend with normal stress (Fig. 5). Lack of a systematic dependence on normal stress is
293 representative of most wet gouges (e.g. Sawai et al., 2014) and there is also no clear relationship
294 between D_{th} and clay content.

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W_b exhibits no scaling with normal stress for any of the categories (Fig. 6c). The absence of a relationship between fracture energy and normal stress has been reported previously (Nielsen et al., 2016). Within the wet gouges, illite demonstrates a possible weak dependence on normal stress (Fig. 5), but most datasets under wet or dry conditions show no such dependence, including datasets compiled from the literature as well as those from this study (e.g. Cascadia results in Fig. 4; other phases in Fig. 5; French et al., 2014; Mizoguchi et al., 2007; Sawai et al., 2014). W_b values for dry gouges overlap with the highest W_b values for wet gouges, but the smallest W_b values for wet gouges are three orders of magnitude lower than the other two categories. Neither wet nor dry gouges show any clear trends with clay content, but the magnitude of W_b does vary with clay species (Fig. 5).

Finally, there is a strong dependence between $\tau_p - \tau_{ss}$ and D_{th} for dry gouges and intact rocks, but there is no such dependence for wet gouges (Fig. 6d). This represents a fundamentally different behavior for wet and dry conditions. A correlation between $\tau_p - \tau_{ss}$ and D_{th} is expected for dry conditions where both of these two parameters were observed to vary with normal stress. As effective normal stress was not measured in the slip zone during the experiments, a trend with normal stress for wet gouges might be hidden in the normal stress uncertainty. However, the absence of a correlation between D_{th} and $\tau_p - \tau_{ss}$ suggests the lack of scaling with applied normal stress for wet gouges is robust despite not measuring pore fluid pressure or effective normal stress during experiments conducted under wet conditions.

317 The compiled data suggest that W_b is independent of normal stress, despite $\tau_p - \tau_{ss}$ and D_{th} having
 318 strong dependencies on normal stress for intact rocks and dry gouges. We developed an expression
 319 for W_b derived from the expressions for $\tau_p - \tau_{ss}$ and D_{th} to validate the lack of scaling for W_b . We
 320 fit the observed linear relationship between normal stress and $\tau_p - \tau_{ss}$ following the equation

$$323 \quad \tau_p - \tau_{ss} = a_1 \sigma_n \quad (6)$$

321 where a_1 is a coefficient and σ_n is normal stress. We also fit the observed power law relationship
 322 between normal stress and D_{th} following the equation

$$326 \quad D_{th} = a_2 \sigma_n^{-b} \quad (7)$$

324 where a_2 is a coefficient and b is the power law exponent (Di Toro et al., 2011). Using equations
 325 6 and 7, the equation for estimating W_b (Eq. 1; Di Toro et al., 2011) can be re-written as

$$327 \quad W_b = \frac{1}{2} a_1 a_2 \sigma_n^{1-b} \quad (8)$$

328 with b expected to be near 1 to cancel the dependence on normal stress. For each dataset or subset,
 329 we used a nonlinear least-squares fit and report a 95% confidence interval for equations 6 and 7
 330 (Table 2). The wet and dry gouges were separated into three groups by clay content due to the
 331 strong influence of clay on the friction coefficient and therefore the magnitude of $\tau_p - \tau_{ss}$, resulting
 332 in different values for a_1 . The wet gouge categories are too scattered for any reasonable model fit
 333 for D_{th} or W_b . Most of these fits are associated with large uncertainty due to the spread of data in
 334 each category, but all resulting exponents for the relationship between normal stress and W_b are
 335 near zero, reflecting no dependence on normal stress due to the tradeoff between increasing $\tau_p -$
 336 τ_{ss} and decreasing D_{th} . Projecting the results for dry gouges and intact rocks to seismogenic depths
 337 of ~ 10 km by approximating the normal stress as lithostatic stress suggests $\tau_p - \tau_{ss}$ would range
 338 from 10s of MPa near the surface to 100s of MPa at seismogenic depths, D_{th} would vary from

339 meters near the surface to centimeters or millimeters at seismogenic depths, yet W_b would not vary
340 significantly.

341
342 The majority of the compiled experiments were conducted on the high velocity rotary shear
343 machine at Kochi/JAMSTEC (“HVR”), but some experiments were conducted on other machines
344 at Kochi/JAMSTEC, Kyoto University, Hiroshima University, and INGV in Italy. Significant
345 differences in boundary conditions between rotary shear machines, such as sample dimension and
346 thermal conductivity and permeability of sample holders, may lead to some variation in the
347 experimental results (Savage et al., 2018; Yao et al., 2016). Additionally, differences in the
348 acceleration path will increase τ_p and decrease D_{th} , but not affect τ_{ss} (Sone and Shimamoto, 2009).
349 These combined acceleration effects may cancel each other and produce a small effect on W_b .
350 Though we have not controlled for these differences, there are still clear trends that emerge from
351 the compilation, suggesting these trends are robust despite the data being sourced from various
352 machines at multiple labs.

353

354 *4.2 Comparison of gouges and intact rocks*

355 The compiled data indicate that, in general, dynamic weakening during seismic slip is influenced
356 by the clay content, the presence of water, and the material state (i.e. gouge or intact rock). Clay
357 content controls the initial strengthening (τ_p) for gouge samples (Fig. 6a), which is expressed by a_I
358 in Eq. 8 and decreases with increasing clay fraction (Table 2). Results for intact rocks vary with
359 rock type (e.g. $a_I = 0.57$ for calcite, $a_I = 0.45$ for peridotite), but wet clay-rich gouges have the
360 smallest values of a_I (0.19). The rate of weakening (D_{th}) does not systematically vary with clay
361 content for wet or dry gouges (Fig. 6b). The length of D_{th} appears to depend more on the presence

362 of water and the material state of the sample than clay content (e.g. a_2 for intact rocks is an order
363 of magnitude greater than dry gouges, but we found no clear variation of a_2 with clay content
364 within the dry gouges). These characteristics control the porosity, permeability, and thermal
365 diffusivity of the experimental fault, which dictate the pore fluid pressure in the sample (Faulkner
366 et al., 2011; Rice, 2006; Yao et al., 2016). For example, the very small values of D_{th} for wet gouges
367 imply the reduced permeability of wet, particularly clay-bearing, gouges promotes rapid
368 weakening due to the efficacy of thermal pressurization as a weakening mechanism (e.g. Ujiie and
369 Tsutsumi, 2010). Additionally, differences between gouges and intact rocks may also be due to
370 the ability of granular materials to facilitate weakening via non-thermal effects (e.g. shear-
371 enhanced compaction leading to pore pressurization or rolling of grains) (Aretusini et al., 2019;
372 Reches and Lockner, 2010).

373
374 Breakdown work (W_b) is dependent on τ_p , τ_{ss} , and D_{th} and thus also influenced by the material
375 properties of the sample and the experimental fault system. These influences manifest as the
376 notable difference in the magnitudes of W_b for each of the three categories (Fig. 6c). W_b for wet
377 gouges ranges two orders of magnitude lower than the range for dry gouges, and W_b for most intact
378 rocks ranges an order of magnitude higher than dry gouges. Differences in W_b are a measure of the
379 efficiency of the weakening mechanism, again suggesting that thermal pressurization (invoked for
380 wet gouges) is more efficient at reaching the necessary temperature for weakening (~ 150 °C for
381 thermal pressurization) (French et al., 2014; Kitajima et al., 2010) than the mechanisms for dry
382 gouges or intact rocks (e.g. powder lubrication and flash heating) (Di Toro et al., 2011). Overall,
383 the effects of water and material state outweigh clay content in determining D_{th} , but clay content
384 still influences W_b .

385

386 4.3 Comparison of laboratory and seismological estimates of fracture energy

387 Seismological fracture energy (G') is a measure of the energy per unit area for the propagation of
388 the rupture tip and is estimated from earthquake source spectra and the earthquake energy budget
389 (Abercrombie and Rice, 2005). The velocity step from the run-in stage to 1 m/s during the
390 experiments may not be a good representation of the transition from pre-rupture to sliding at a
391 rupture tip for a variety of reasons. However, the magnitudes of lab estimates overlap with
392 seismological estimates of G' (Nielsen et al., 2016; Selvadurai, 2019 and references therein).
393 Though the lab does not directly replicate an earthquake, many of the energy sinks associated with
394 breakdown work during both natural and experimental events are the same (e.g. frictional
395 resistance, comminution, melting, heating of pore fluid, and heat dissipation), suggesting that the
396 lab and seismological estimates are comparable.

397

398 The experimental data we compiled exhibits a scaling between W_b and D_{th} that is strikingly similar
399 to the positive scaling between seismological estimates of fracture energy and earthquake size
400 (total slip during the earthquake) (Fig. 7) (Abercrombie and Rice, 2005; Viesca and Garagash,
401 2015). A similar relationship between fracture energy and earthquake size has also been
402 reproduced in the lab by calculating fracture energy for increments of accumulating slip rather
403 than at D_{th} (Nielsen et al., 2016). Viesca and Garagash (2015) estimated fracture energy based on
404 two definitions: 1) for crack-like ruptures, fracture energy is defined as $G' = \left(\frac{\Delta\tau}{2} - \tau_a\right) \delta$ where
405 $\Delta\tau$ is the static stress drop, τ_a is the apparent stress, and δ is slip, and 2) for pulse-like ruptures,
406 fracture energy is defined as $G^{\max} = G' + \tau_f \delta$ where τ_f is the final fault strength and δ is slip. W_b
407 for wet and dry clay gouges overlap with G' estimates for large earthquakes at subduction zones

408 and major crustal fault zones. Despite the heterogeneity over a rupture area and the importance of
409 acceleration for determining seismological estimates of fracture energy (Tinti et al., 2005), any
410 variation in the acceleration ramp for experiments and natural earthquakes does not overshadow
411 the scaling relationships. In our data, W_b is based on the dynamic stress drop measured at steady
412 state so there is no over- or undershoot. In other words, W_b does not increase further with slip once
413 weakening is complete. The similarity in the experimental and seismological values that arises
414 when D_{th} is equated with total slip therefore supports the idea that ruptures are crack-like on
415 average and may be well explained by a simple slip-weakening model. This also indicates that D_{th}
416 scales with total slip, such that larger earthquakes have larger W_b values on average. The distinction
417 between crack-like vs. pulse-like behavior depends on the background stress level and slip zone
418 thickness, and the agreement between experimental and seismic data supporting crack-like
419 behavior indicates these earthquakes had either high background stress levels or thin slip zones
420 resulting from rapid localization (Noda et al., 2009).

421

422 *4.4 Implications for the Cascadia subduction zone and other natural faults*

423 The possibility of rupture to the trench at the Cascadia subduction zone depends on the degree of
424 locking at the margin as well as the frictional behavior of the sediments hosting the décollement.
425 The upper limit of the seismogenic zone is thought to extend to the trench based on elevated
426 temperatures at the deformation front exceeding the 100 °C smectite-illite transition (Oleskevich
427 et al., 1999). Locking to the trench is supported by current geodetic fault locking models (Li et al.,
428 2018) and a lack of earthquake epicenters or slow earthquake activity near the trench in northern
429 Cascadia (McGuire et al., 2018; Obana et al., 2015). Offshore of Vancouver Island and
430 Washington state, the décollement is located just above the oceanic basement (Fig. 1; Carson et

431 al., 1995; Han et al., 2017), suggesting that the décollement is likely hosted in hemipelagic
432 mudstones near the base of the thick package of incoming sediments. Friction coefficients of 0.36
433 and 0.41 (based on pre-acceleration v_e of 500 $\mu\text{m/s}$, see Fig. S3) for the core samples of
434 hemipelagic mudstones in this study are consistent with previous studies that characterize northern
435 Cascadia as a relatively mechanically strong subduction zone (Cubas et al., 2016; Han et al., 2017).
436 The compiled experimental data show that the fracture energy of the Cascadia input samples under
437 wet conditions is relatively high compared to that of samples representative of other subduction
438 margins such as the Japan Trench and Costa Rica (Fig. 8), likely because the Cascadia samples are
439 relatively clay poor. A lithologic control on subduction zone behavior has been proposed by
440 previous workers (Moore et al., 2015).

441
442 Using our measurements from Cascadia samples and the compiled data, we are able to compare
443 the frictional behavior of a diverse set of subduction zone sediments and other fault rocks in detail.
444 Breakdown work (W_b), which is a primary control on rupture dynamics, varies by two orders of
445 magnitude between major plate boundary faults, and by around one order of magnitude for
446 subduction margins (Fig. 8). Clay-rich pelagic rocks have low W_b (e.g. samples retrieved from the
447 Japan Trench; Sawai et al., 2014; Ujiie et al., 2013), whereas clay-poor mudstones dominated by
448 terrigenous input have moderate W_b (e.g. Cascadia and the Nankai Trough; this study; Ujiie and
449 Tsutsumi, 2010). However, there are exceptions to the relationship between clay content and W_b
450 that suggest this relationship is not systematic, such as the moderate W_b of smectite-rich SAFOD
451 fault gouge (Fig. 8). Although paleoseismic records for Cascadia document tsunamis caused by
452 megathrust earthquakes in the past, the moderate W_b of the Cascadia core samples (0.1 to 2 MJ/m^2)
453 compared to other subduction zones may suggest that Cascadia is less susceptible to large amounts

454 of shallow slip and dynamic overshoot. Alternatively, as the Cascadia megathrust is thought to be
455 late in the seismic cycle (Wang et al., 2012), our results raise the possibility that the high frictional
456 strength of the Cascadia core samples, and therefore likely the décollement, may result in higher
457 resolved shear stress on the interface prior to rupture, enhancing the potential for ruptures to
458 propagate to the trench. Additionally, any lithological control on rupture propagation at Cascadia
459 will be limited due to both the lack of variability in the incoming sediment composition and the
460 lack of variability in the frictional behavior between the hemipelagic mudstones and the sandstone
461 samples.

462

463 Tsunami earthquakes rupture slowly beneath the shallow part of accretionary prisms at some
464 margins and are characterized by low radiation efficiencies, $\eta_R < 0.25$ ($\eta_R = \frac{E_R}{E_R + G'}$, where E_R is
465 the radiated energy and G' is the seismologically observed fracture energy), relative to ordinary
466 earthquakes (Kanamori, 1972; Venkataraman and Kanamori, 2004). Low radiation efficiency
467 requires an earthquake to dissipate more energy during fault propagation (i.e. W_b) relative to the
468 energy radiated as seismic waves. The compiled results are relevant to tsunami earthquakes
469 because they rupture the shallow portions of subduction zones, where the plate boundary fault zone
470 may contain similar materials to samples from input stratigraphic sections. Although we are not
471 able to evaluate η_R in the experiments because E_R is not measured (and we lack τ_0 for many
472 experiments), in general, high W_b (as a proxy for G') materials may experience tsunami-type
473 events, but low W_b materials would not. As subduction décollements are likely fluid-saturated,
474 Figure 6 suggests that the primary characteristic that might explain tsunami earthquake slip is how
475 well-drained the fault zone is (Ma, 2012), rather than lithology or clay content. If wet gouges
476 predominate in subduction décollements, W_b dissipated on-fault is unlikely to be large, suggesting

477 off-fault damage is also important. Cascadia input materials that contain relatively less clay and
478 exhibit moderately large W_b may therefore be good candidates for hosting tsunami-type events.

479

480 **5 Conclusions**

481 High velocity rotary shear experiments on input sediments from Cascadia, individual clay species,
482 and experiments on other gouges and intact rocks compiled from the literature have shown that:

483 1. Mudstones and sandstone from the input sediments at Cascadia are relatively strong ($\mu_p =$
484 0.40-0.46 and $\mu_p = 0.67$, respectively) and have similar breakdown work values (0.1-2
485 MJ/m²) over a range of normal stresses

486 2. For all sample types, breakdown stress drop increases linearly with normal stress and
487 thermal weakening distance decreases according to a power law dependence on normal
488 stress

489 3. For all sample types, breakdown work is independent of normal stress due to a tradeoff
490 between increasing breakdown stress drop and decreasing thermal weakening distance

491 4. Breakdown work varies by several orders of magnitude between wet gouges (0.0001-4
492 MJ/m²), dry gouges (0.1-5 MJ/m²), and intact rocks (2-40 MJ/m²)

493 There is an order of magnitude difference in the frictional behavior of input sediments from
494 different subduction margins where wet, clay-present gouges show both the greatest range of
495 breakdown work as well as the lowest values. At Cascadia, lithology plays a limited role in
496 discriminating the structural level in which an earthquake is likely to propagate due to the lack of
497 variability in the frictional behavior and breakdown work between mudstones and sandstones. The
498 relatively high frictional strength and moderate breakdown work of the input sediments from the
499 Cascadia margin suggest that it may be less susceptible to hosting very large amounts of shallow

500 slip or dynamic overshoot, although this may be mediated by more frictionally unstable behavior
501 of the gouge and a greater amount of elastic stored energy at shallow depth due to the higher
502 strength of the gouges.

503

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516

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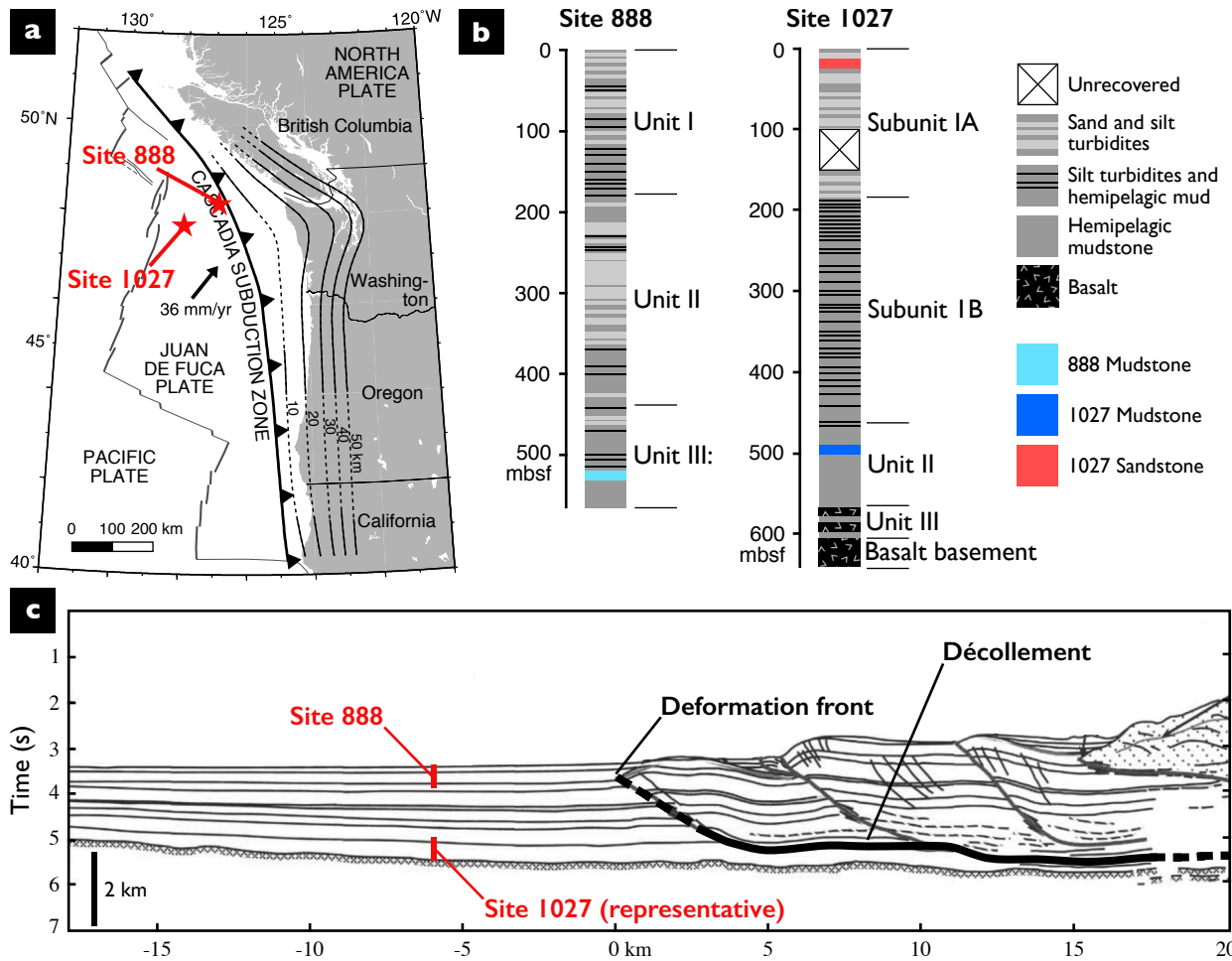
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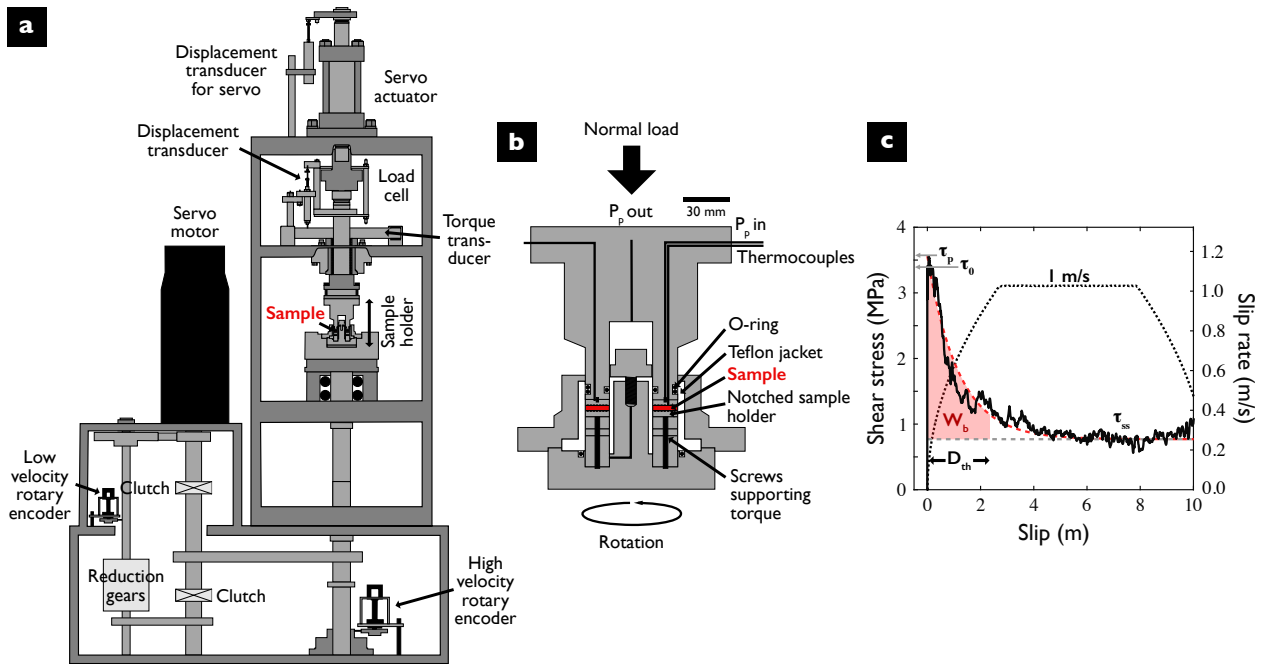
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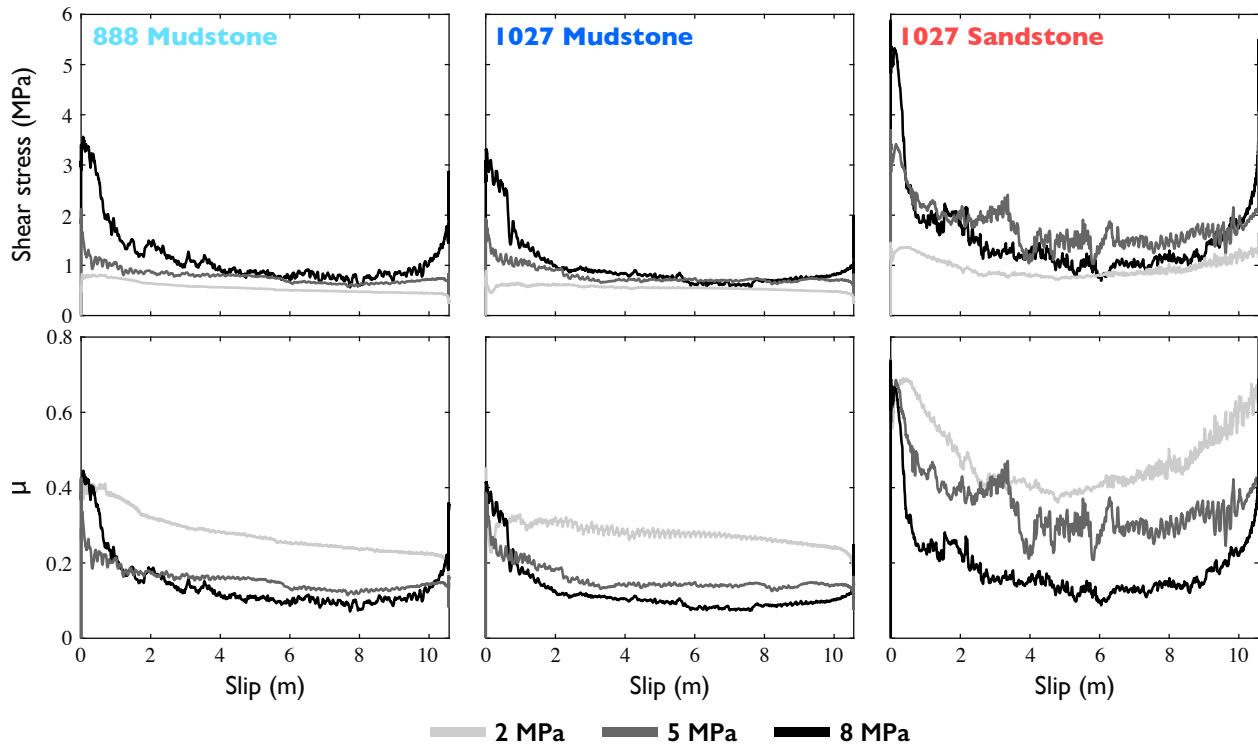
666

667 **Fig. 1.** Location and stratigraphy of ODP sites. (a) Locations of ODP sites (red stars) relative to
 668 the Cascadia subduction zone, note the sites are in a transect near parallel to the plate convergence
 669 direction (adapted from Satake et al. (2003)). (b) Schematic stratigraphy at ODP sites with units
 670 as defined in expedition reports (adapted from Carson et al. (1995) and Fisher et al. (2000)). Core
 671 samples selected for experiments are highlighted with colored bars. Core samples include one
 672 sample of a sand turbidite (red bar) and two samples of hemipelagic mudstones (light blue and
 673 dark blue bars). (c) Sketch of seismic reflection data at Site 888 showing the location of the
 674 décollement, the deformation front, and the relative depth of stratigraphy in each hole (actual depth
 675 of Site 888 and representative depth of Site 1027) (adapted from Carson et al. (1995) and Fisher
 676 et al. (2000)).



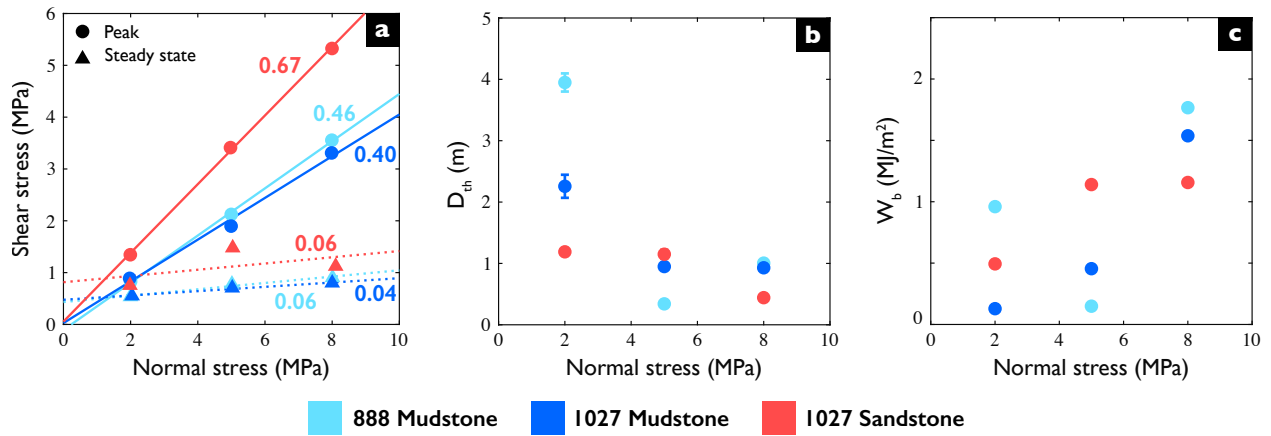
677

678 **Fig. 2.** Experimental apparatus. **(a)** Schematic cross-sectional diagram of low to high velocity
 679 rotary shear apparatus (PHV) at the Kochi Core Center. **(b)** Schematic cross-sectional diagram of
 680 sample assembly. **(c)** Representative mechanical data for a high velocity rotary shear experiment
 681 (black solid line), model of shear stress evolution (red dashed line), residual shear stress (grey
 682 dashed line), and slip rate (black dotted line). τ_0 is the initial shear stress before the acceleration
 683 ramp, τ_p is the peak shear stress after acceleration begins, and τ_{ss} is the steady state shear stress
 684 achieved during slip at 1 m/s. Red shaded area represents the breakdown work (W_b) estimated from
 685 the model between slip at the onset of acceleration and the thermal weakening distance (D_{th}).



686

687 **Fig. 3.** Mechanical data from experiments on Cascadia core samples. **Top row:** Mechanical data
 688 plotted as shear stress versus slip showing that the steady state shear stress is consistent across
 689 normal stress conditions for each sample. **Bottom row:** Mechanical data plotted as apparent
 690 friction coefficient versus slip showing that peak friction coefficient is consistent across normal
 691 stresses while the steady state friction coefficient decreases.



692

693 **Fig. 4.** Friction and fracture energy parameters from experiments on Cascadia core samples. **(a)**

694 Shear stress scaling with normal stress for peak shear stress (circles) and steady state shear stress

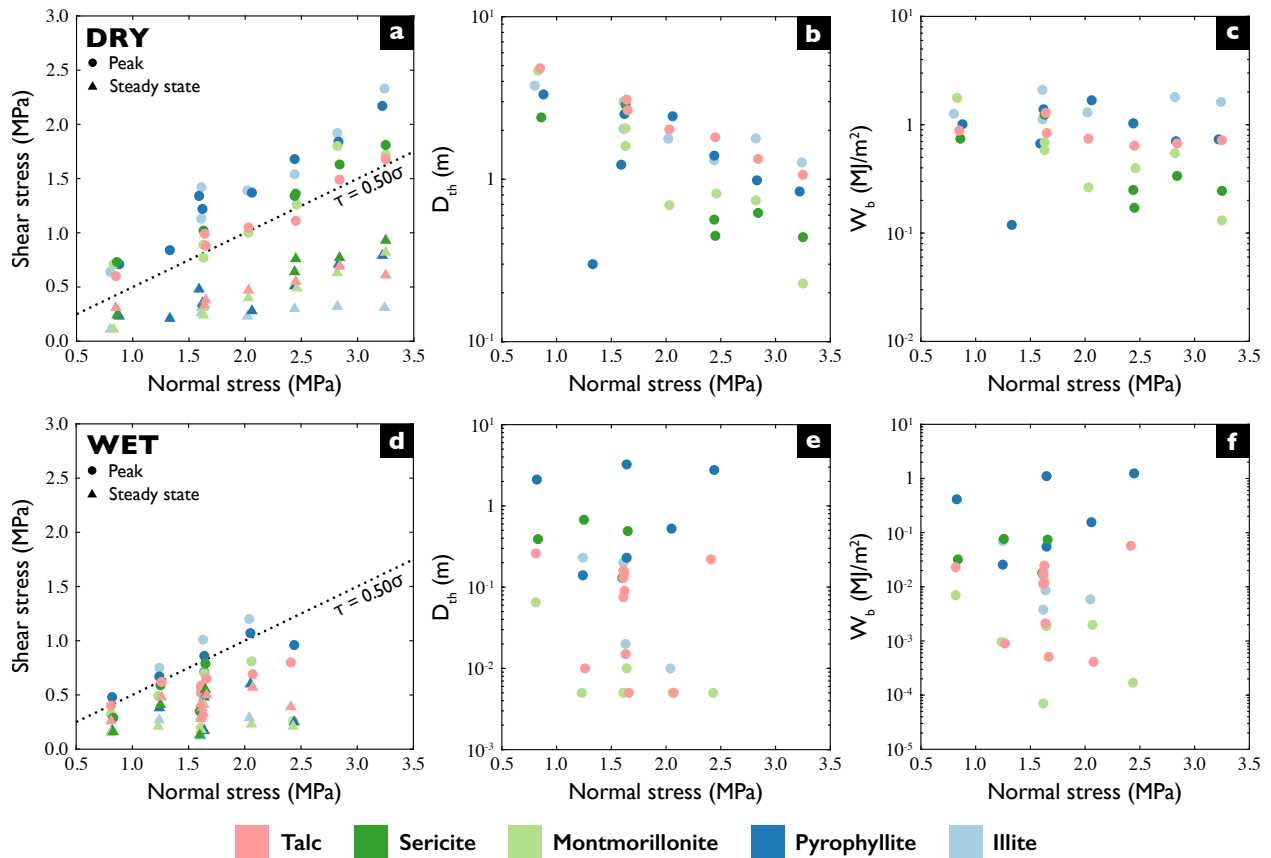
695 (triangles). Friction coefficients for each sample are defined as the linear scaling between shear

696 stress and normal stress and are determined from the peak shear stress (solid lines) and steady state

697 shear stress (dotted lines). **(b)** Thermal weakening distance (D_{th}) decreases with normal stress for

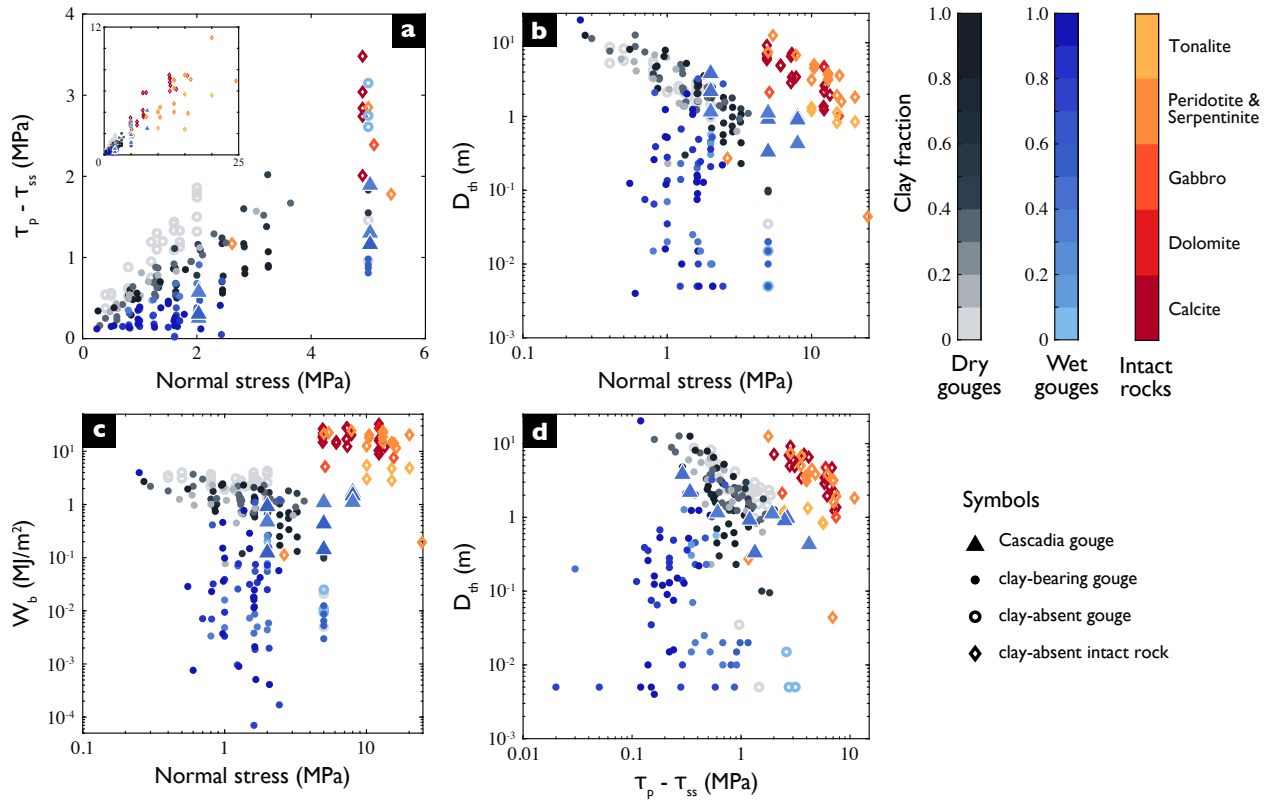
698 all lithologies. Error bars from the model fit are plotted for all data points, but most do not extend

699 beyond the circles. **(c)** Breakdown work (W_b) does not vary significantly between lithologies.



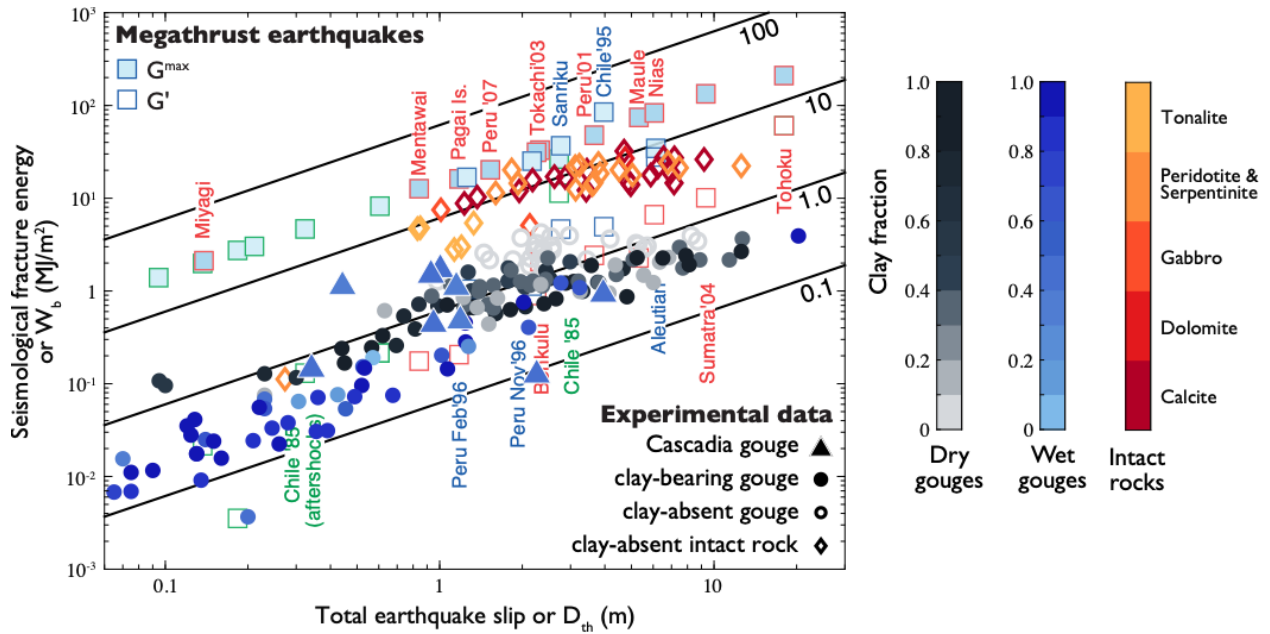
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701 **Fig. 5.** Friction and fracture energy parameters from dry (a-c) and wet (d-f) experiments on
 702 individual clay species. **(a,d)** Shear stress scaling with normal stress for peak shear stress (circles)
 703 and steady state shear stress (triangles). Dashed lines show a friction coefficient of 0.50 for
 704 reference. Dry experiments have a higher peak friction coefficient than wet experiments, and
 705 steady state shear stress during dry experiments has a stronger dependence on normal stress than
 706 wet experiments. **(b,e)** Thermal weakening distance (D_{th}) decreases with normal stress for dry
 707 experiments but has no dependence on normal stress for wet experiments. **(c,f)** Breakdown work
 708 (W_b) does not vary systematically with normal stress for dry or wet experiments. Note that y-axis
 709 limits for D_{th} and W_b are different between the wet and dry conditions.



710

711 **Fig. 6.** Compilation of high velocity rotary shear experimental data. **(a)** Breakdown stress drop (τ_p
 712 $-\tau_{ss}$), with inset displaying the full normal stress range, **(b)** thermal weakening distance (D_{th}), and
 713 **(c)** breakdown work (W_b) scaling with normal stress. **(d)** Thermal weakening distance (D_{th}) scaling
 714 with breakdown stress drop ($\tau_p - \tau_{ss}$).



715

716 **Fig. 7.** Comparison between experimental and seismological estimates of fracture energy.

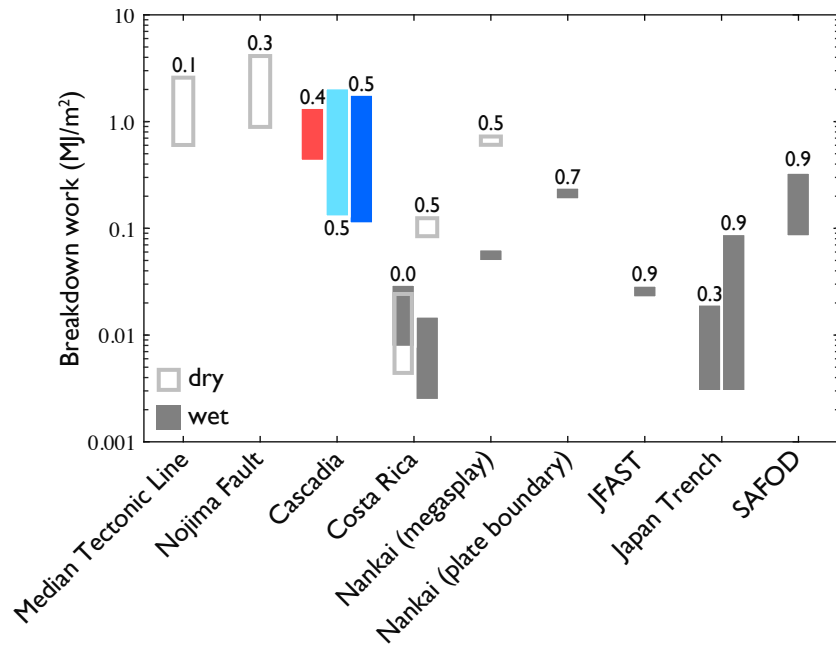
717 Compilation of W_b evaluated at $\delta = D_{th}$ for high velocity rotary shear experimental data from wet

718 gouges, dry gouges, and intact rocks imposed on the compilation of seismological estimates of

719 fracture energy for megathrust earthquakes (Viesca and Garagash, 2015). Note that the

720 experimental data overlies the G' fracture energy estimates for megathrust earthquakes. Black

721 curves represent W_b calculated with Eq. 5 for constant breakdown stress drops of 0.1 to 100 MPa.



722

723 **Fig. 8.** Breakdown work (W_b) estimates from natural samples, including fault gouge from the
 724 Median Tectonic Line in Japan, fault gouge from the Nojima Fault in Japan, smectite-rich fault
 725 gouge from SAFOD core retrieved from the San Andreas Fault in California, sand turbidite and
 726 hemipelagic mudstones from ODP core retrieved from the input section of the Cascadia subduction
 727 zone (this study; colors are the same as in Fig. 4), megaspaly and plate boundary fault gouge from
 728 IODP core retrieved from the Nankai subduction zone, smectite-rich fault gouge from IODP core
 729 retrieved from the décollement zone of the Japan Trench, pelagic sediments from DSDP core
 730 retrieved from the input section of the Japan Trench, silty clays and biogenic oozes from IODP
 731 core retrieved from the input section of the Costa Rica subduction zone. Breakdown work
 732 estimates from dry experiments (light grey) and wet experiments (dark grey) are plotted as
 733 rectangles encompassing the range of values. Numbers above or below each sample indicate the
 734 clay fraction. Data sources are listed in the supplement and Table S3.

735 **Table 1.** Experimental conditions, mechanical results, and fracture energy estimates for Cascadia
 736 samples

Run Number	Sample/ Sample name	σ_n [MPa]	τ_θ [MPa]	τ_p [MPa]	τ_{ss} [MPa]	D_{th} [m]	W_b [MJ/m ²]
PHV462	888 mudstone 888B-62X-2	2	0.49	0.83	0.54	3.949	0.960
PHV463	888 mudstone 888B-62X-2	5	1.88	2.12	0.78	0.341	0.149
PHV464	888 mudstone 888B-62X-2	8	2.92	3.56	0.90	1.005	1.766
PHV465	1027 mudstone 1027B-53X-2	2	0.61	0.89	0.55	2.257	0.129
PHV466	1027 mudstone 1027B-53X-2	5	1.49	1.90	0.70	0.949	0.455
PHV467	1027 mudstone 1027B-53X-2	8	2.74	3.31	0.80	0.929	1.537
PHV468	1027 sandstone 1027B-03H-3	2	1.27	1.36	0.75	1.189	0.494
PHV469	1027 sandstone 1027B-03H-3	5	3.34	3.41	1.48	1.150	1.140
PHV470	1027 sandstone 1027B-03H-3	8	5.30	5.33	1.12	0.442	1.157

737

738 **Table 2.** Power law fits to compiled data

		a_1	a_2	b	$W_b \propto \sigma_n^x$
Wet gouges	<i>0% clay</i>	0.57 ± 0.14			
	<i><30% clay</i>	0.26 ± 0.06			
	<i>>30% clay</i>	0.19 ± 0.02			
Dry gouges	<i>0% clay</i>	0.77 ± 0.14	3.35 ± 0.40	0.90 ± 0.18	0.10
	<i><30% clay</i>	0.52 ± 0.02	3.66 ± 0.67	1.00 ± 0.25	0.00
	<i>>30% clay</i>	0.39 ± 0.04	3.75 ± 0.47	0.98 ± 0.14	0.02
Intact rocks		0.43 ± 0.04	36.84 ± 24.94	1.03 ± 0.35	-0.03

739

740

741 **SUPPLEMENTARY INFORMATION**

742
743 **Rupture to the trench? Frictional properties and fracture energy of incoming**
744 **sediments at the Cascadia subduction zone**
745

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758 **Section S1:** Supplemental methods for x-ray diffraction analysis

759 **Section S2:** Supplemental methods for the high velocity friction experimental procedure

760 **Section S3:** Supplemental methods for the data compilation of high velocity friction experiments

761
762 **Figure S1:** X-ray diffraction spectra for bulk analyses of Cascadia core samples

763 **Figure S2:** X-ray diffraction spectra for clay separate analyses of Cascadia core samples

764 **Figure S3:** Mechanical data for Teflon friction experiments

765 **Figure S4:** Mechanical data for the time evolution of shear stress and slip velocity

766 **Figure S5:** Example for the conversion of D_c to D_{th}

767 **Figure S6:** Friction coefficients for individual clay species experiments

768 **Figure S7:** Friction and fracture energy parameters for individual clay species (dry)

769 **Figure S8:** Friction and fracture energy parameters for individual clay species (wet)

770
771 **Table S1:** Composition of Cascadia core samples from x-ray diffraction

772 **Table S2:** Composition of starting material for individual clay species experiments

773 **Table S3:** Compilation of laboratory data from high velocity rotary shear experiments

774 **S1 Supplemental methods for x-ray diffraction analyses**

775 Sample mineralogy and dominant clay species of the selected core samples were characterized
776 using X-ray diffraction (XRD) on whole rock powders and separated clay fractions. These results
777 are presented in Figures S1 and S2, respectively. The core samples were ground into <90 μm
778 powders with an alumina mortar and pestle followed by 4 minutes in the McCrone micronizing
779 mill. Randomly oriented whole rock powders and oriented clay mounts were prepared following
780 the methods set forth by the USGS laboratory manual for XRD (Poppe et al., 2001) to assure
781 meaningful results. Samples were analyzed in a Rigaku SmartLab® high resolution X-ray
782 diffractometer with $\text{CuK}\alpha$ radiation at 40 kV and 30 mA with a 0.5° divergence slit at a continuous
783 scan rate of $1^\circ 2\theta$ per minute. Oriented clay mounts were analyzed untreated, expanded with
784 ethylene glycol, and heated to 400°C for clay mineral identification. Spectra collected for the
785 whole rock powders were analyzed with Rigaku PDXL software for phase identification and
786 composition was determined from Rietveld refinement. The uncertainty of the percentages is on
787 the order of 5%.

788

789 **S2 Supplemental methods for the high velocity friction experimental procedure**

790 Cascadia core sample experiments were conducted in the low to high velocity rotary shear
791 apparatus (“PHV”) at Kochi/JAMSTEC (Tanikawa et al., 2012). The machine consists of an upper
792 stationary side from which normal load is applied and torque is measured, and a lower rotational
793 side which is controlled by a servo motor and rotations are measured. Individual clay species
794 experiments were conducted in the high velocity rotary shear apparatus (“HVR”) at
795 Kochi/JAMSTEC (for more detail see Tsutsumi and Shimamoto (1997)). The machine consists of
796 a stationary side from which normal load is applied and torque is measured, and a rotational side

797 which has a magnetic clutch to engage the sample assembly with the motor once the desired
798 rotation speed has been reached. The normal load is supplied by an air actuator that has the
799 advantage that when the sample shortens during rapid slip, the normal load is maintained due to
800 the high compressibility of air. Sample shortening was measured using a displacement transducer.

801
802 Experiments were conducted on the PHV and HVR apparatuses without gouge material, without
803 applied normal stress, and with a gap between the sliding blocks to test the mechanical contribution
804 of Teflon present in the sample assembly. O-rings and a Teflon jacket were components of the
805 PHV sample assembly (Fig. 2), and a Teflon sleeve was wrapped around the gouge and adjacent
806 sliding blocks for the HVR sample assembly. Tests conducted on the PHV apparatus used for the
807 Cascadia core samples show negligible shear stress contributed by the O-rings and Teflon jacket
808 (Fig. S3a). Tests conducted on the HVR apparatus used for the individual clay species shows that
809 the shear resistance contributed by the Teflon sleeve decreases with displacement from 0.26 to 0.1
810 MPa (Fig. S3b). To avoid complex corrections, we have not corrected the data for the contribution
811 of the Teflon sleeve in this study. Although the Teflon sleeve affects the mechanical data, the
812 contribution is almost always less than the gouge and does not affect the calculated values of
813 breakdown stress drop or breakdown work because these values are measured based on relative
814 changes in shear stress.

815
816 For each Cascadia core sample, shear stress-normal stress pairs of the initial stress, peak stress,
817 and steady state stress were fit to the equation $\tau = \mu\sigma + b$ to check the shear stress contribution
818 of the O-rings and Teflon jacket (Fig. S3c). Offsets to the linear fit (b) of the initial and peak stress
819 data for each sample is near zero, suggesting the contribution of friction between the gouge and

820 the Teflon jacket is negligible. Offsets to the linear fit of the steady state shear stress data are non-
821 zero, but we consider this friction to be contributed mainly by viscous shearing within the gouge
822 layer (see Section 4). Additionally, any contribution of friction from gouge that was sheared into
823 the space between the upper loading column and the Teflon jacket does not affect the reported
824 values of breakdown stress drop or breakdown work in this work.

825

826 **S3 Supplemental methods for the data compilation of high velocity friction experiments**

827 High velocity experimental data and estimates of slip weakening distance and fracture energy were
828 compiled from existing literature. This compilation focused on fault gouges, especially clay-
829 bearing gouges, and separated the data into gouge experiments run under wet and dry conditions
830 and intact rock experiments. The compilation included: core samples of input sediments from the
831 Cascadia subduction zone (this study), individual clay species (this study; Faulkner et al., 2011),
832 drill core from the Nankai megasplay (Ujiie and Tsutsumi, 2010) and plate boundary (Ujiie et al.,
833 2013), drill core from the Japan trench (Ujiie et al., 2013), SAFOD core from the San Andreas
834 Fault (French et al., 2014), synthetic smectite-rich gouge (Oohashi et al., 2015), drill core of input
835 sediments from the Costa Rica margin (Vannucchi et al., 2017), drill core of input sediments from
836 the Japan Trench (Sawai et al., 2014), talc gouge (Boutareaud et al., 2012), Nojima Fault gouge
837 (Mizoguchi et al., 2007; Sawai et al., 2012), fault gouge from the Median Tectonic Line (Brantut
838 et al., 2008), Longmenshan fault gouge (Togo et al., 2011), and serpentinite (Hirose and Bystricky,
839 2007) as well as the experiments on anhydrite gouge, dolomite gouge, gypsum gouge, Carrara
840 marble, dolomite, gabbro, peridotite, and tonalite previously compiled by Di Toro et al. (2011).

841

842 All experiments in this compilation were run either on the horizontal high velocity rotary shear
843 machine at Kochi/JAMSTEC (“HVR”), the high velocity rotary friction apparatus at Kyoto
844 University, the low to high velocity rotary friction apparatus (“PHV”) at Kochi/JAMSTEC, the
845 low to high velocity rotary friction apparatus (“HDR”) at Hiroshima University, or the slow to
846 high velocity apparatus (“SHIVA”) at the National Institute of Geophysics and Volcanology
847 (INGV). All experiments conducted on intact rock and the majority of gouge experiments were
848 run on the HVR apparatus. Experiments conducted on the HVR, HDR, and Kyoto apparatuses
849 used solid cylinders of rock (sandstone or gabbro) as sample holders. Experiments conducted on
850 the PHV apparatus and SHIVA used impermeable steel ring-shaped sample holders that have a
851 larger diameter than the solid cylinders used on the other machines. This introduces some
852 inconsistency in the permeability of the sample holders, thermal conductivity of the apparatus, and
853 the slip velocity gradient. Additionally, we are unable to evaluate any effects due to differences in
854 the acceleration ramp during experiments because acceleration is often not reported. Previous work
855 on acceleration found that the acceleration path does affect the stress evolution. Faster acceleration
856 rates result in more rapid weakening (i.e. shorter characteristic slip distances) and a higher peak
857 shear stress but does not change the steady state shear stress (Chang et al., 2012; Hirose et al.,
858 2011; Liao et al., 2014; Niemeijer et al., 2011; Sone and Shimamoto, 2009). These combined
859 effects may cancel each other and produce minor changes in the breakdown work (Hirose et al.,
860 2011).

861
862 Reported slip weakening distance data was converted to thermal weakening distance to estimate
863 breakdown work. The conventional method for estimating slip weakening distance (D_c) defines a
864 threshold for the reduction of shear stress to 5% of the breakdown stress drop ($\tau_p - \tau_{ss}$), whereas

865 the method for estimating the thermal weakening distance (D_{th}) defines this threshold based on the
866 e-folding distance (a reduction to ~36%). Both models use the same exponential decay curve and
867 the same starting (τ_p) and ending (τ_{ss}) values (Fig. S5), so D_{th} can be determined from the
868 conventionally reported data. To convert from D_c to D_{th} , the shear stress curve was modeled with
869 reported τ_p , τ_{ss} , and D_c values according to the slip weakening model

$$870 \quad \tau(\delta) = \tau_{ss} + (\tau_p - \tau_{ss})e^{\frac{\ln(0.05)\delta}{D_c}} \quad (S1)$$

871 Then, the thermal weakening model (Eq. 4) was solved at $\delta = D_{th}$ to find the shear stress value

$$872 \quad \tau(D_{th}) = \tau_{ss} + (\tau_p - \tau_{ss})e^{-\frac{D_{th}}{D_c}} \quad (S2)$$

873 Next, D_{th} was determined by finding the slip along the model where $\tau = \tau(D_{th})$. Finally, breakdown
874 work (W_b) was then estimated with the newly converted D_{th} by integrating under the model from
875 zero to $\delta = D_{th}$ (Eq. 5).

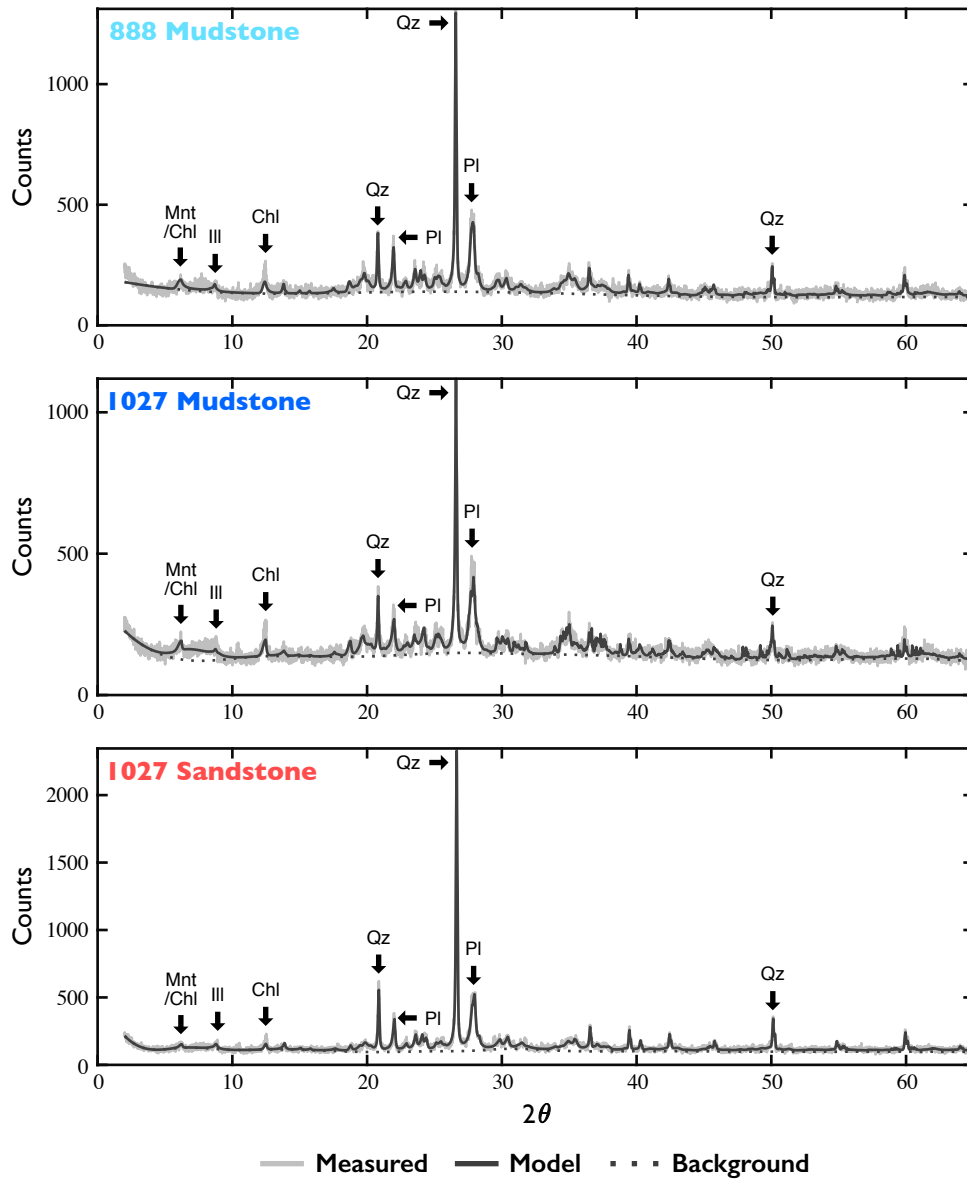
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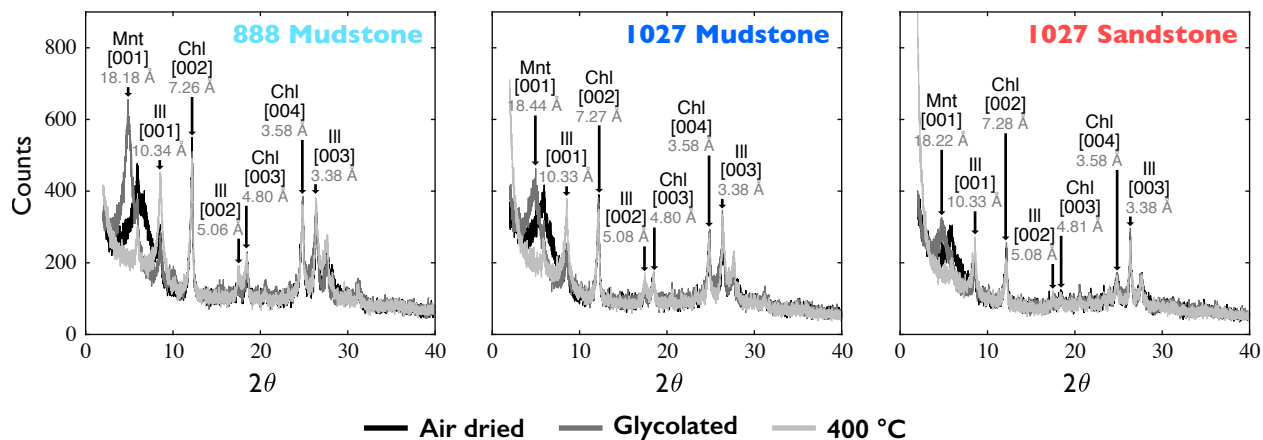
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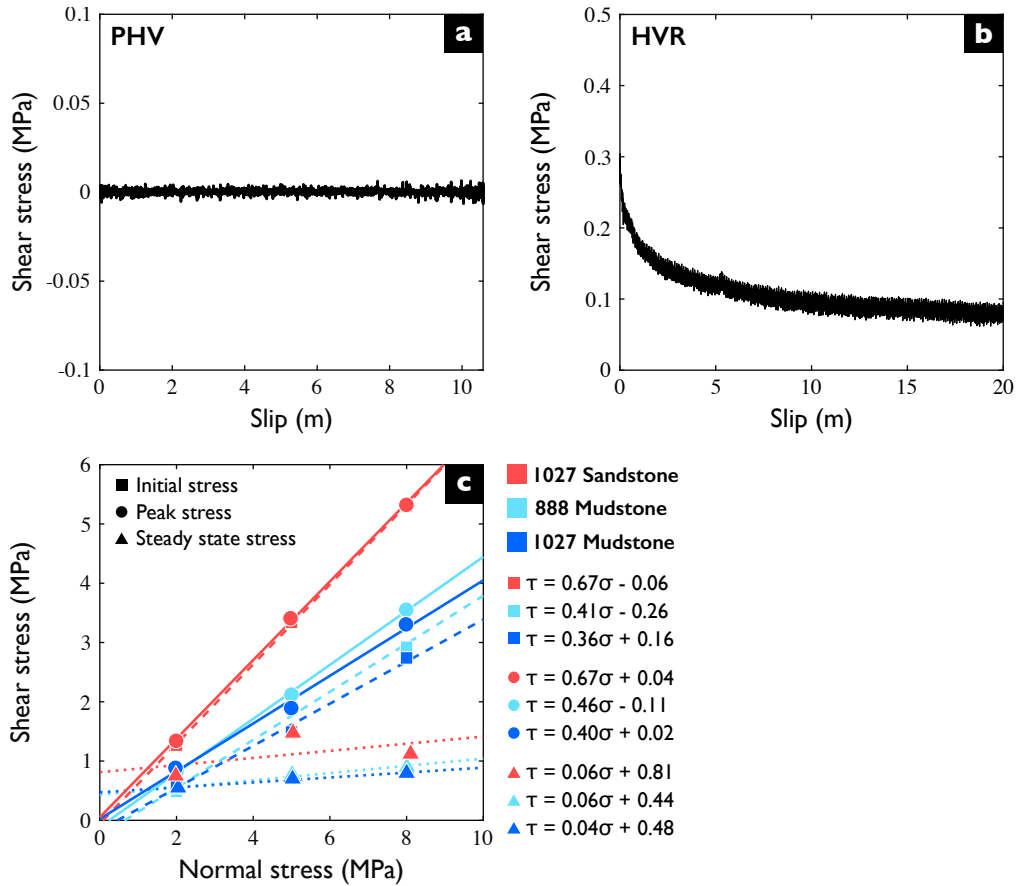
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947 **Fig. S1.** Composition of Cascadia core samples. X-ray diffraction (XRD) spectra from random
 948 powder mounts prepared from the whole rock showing mineralogy identified based on
 949 characteristic d-spacings at a given 2θ (indicated with arrows). Quartz (Qz), plagioclase (Pl),
 950 montmorillonite (Mnt), chlorite (Chl), and illite (Ill) were identified in all three samples.



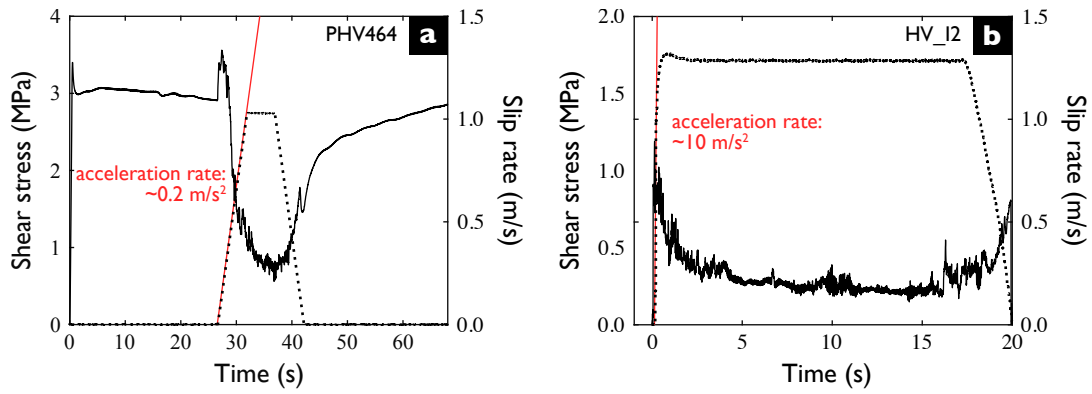
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952 **Fig. S2.** Clay fraction of Cascadia core samples. X-ray diffraction (XRD) spectra from oriented
 953 mounts prepared from the clay fraction. Data was collected after samples were air dried (black
 954 line), glycolated (dark grey line), and heated to 400 °C (light grey line). Clay minerals are identified
 955 based on characteristic d-spacings determined by the spacing width in ångstroms (indicated by
 956 labelled arrows). Montmorillonite (Mnt), chlorite (Chl), and illite (Ill) were identified in all three
 957 samples.



958

959 **Fig. S3.** Negligible contribution of Teflon to friction during experiments. Mechanical data from
 960 experiments conducted without gouge material, without applied normal stress, and with a gap
 961 between the sliding blocks to test the mechanical contribution of Teflon present in the sample
 962 assembly. **(a)** Test conducted on the low to high velocity rotary shear apparatus (PHV) used for
 963 the Cascadia core samples shows negligible shear stress contributed by the Teflon O-ring. **(b)** Test
 964 conducted with a Teflon sleeve (2 mm length) on the high velocity rotary shear apparatus (HVR)
 965 used for the individual clay species shows that the resistance contributed by the Teflon sleeve is
 966 almost always less than the gouge, so the contribution of the Teflon sleeve is negligible. **(c)** For
 967 each of the Cascadia core samples, shear stress-normal stress pairs for the initial stress (τ_0) during
 968 $v_e = 500 \mu\text{m/s}$ (squares and dashed lines), peak stress (τ_p) (circles and solid lines), and steady state
 969 stress (τ_{ss}) (triangles and dotted lines) are fit with a linear equation and listed in the format $\tau =$
 970 $\mu\sigma + b$, where μ represents the friction coefficient.



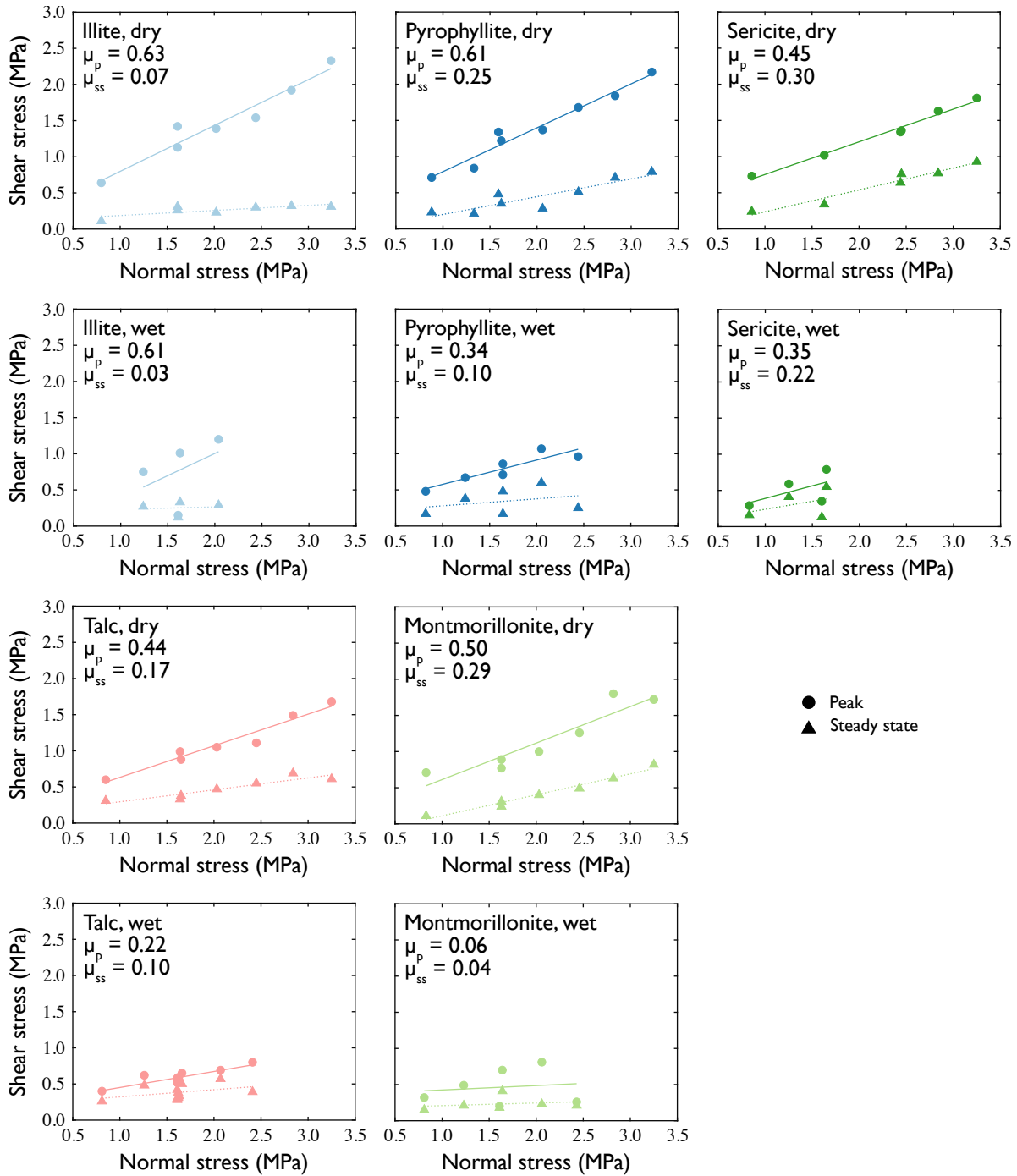
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972 **Fig. S4.** Velocity and acceleration paths during experiments. **(a)** Mechanical data (solid black line)
 973 and slip rate (dotted black line) from experiment PHV464. The acceleration ramp has a rate of
 974 $\sim 0.2 \text{ m/s}^2$. **(b)** Mechanical data (solid black line) and slip rate (dotted black line) from experiment
 975 HV_12 (dry illite). The acceleration ramp has a rate of $\sim 10 \text{ m/s}^2$.



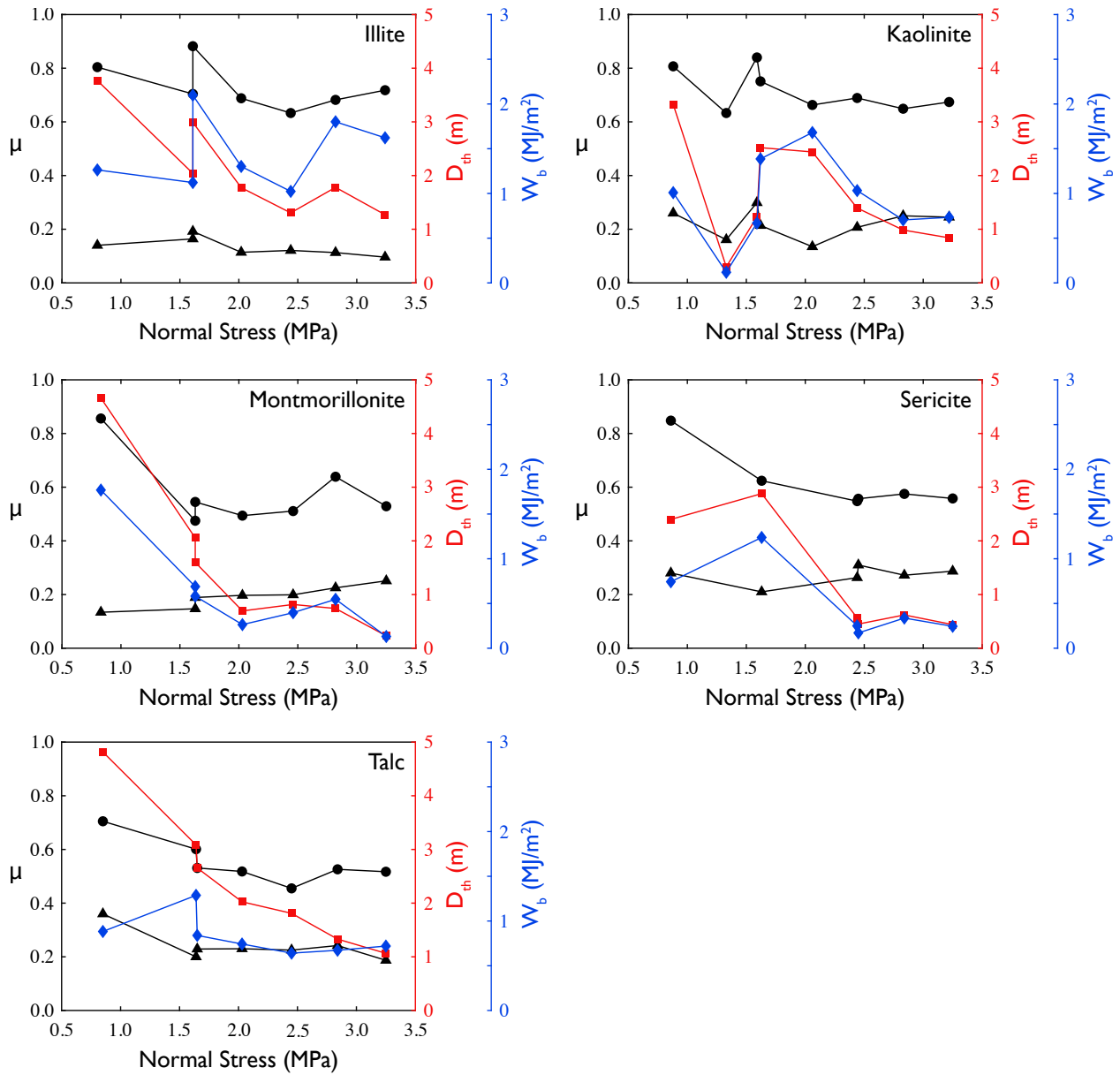
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977 **Fig. S5.** Conversion of slip weakening distance (D_c) to thermal weakening distance (D_{th}).
 978 Mechanical data (black solid line), model of shear stress evolution (red dashed line), residual shear
 979 stress (grey dashed line), and slip rate (black dotted line). τ_0 is the initial shear stress before the
 980 acceleration ramp, τ_p is the peak shear stress after acceleration begins, and τ_{ss} is the steady state
 981 shear stress achieved during slip at 1 m/s. Blue shaded area represents the fracture energy (E_G)
 982 estimated from the model between slip at the onset of acceleration and D_c . Red shaded area
 983 represents the breakdown work (W_b) estimated from the model between slip at the onset of
 984 acceleration and D_{th} . Red shaded area appears purple due to the overlap with the blue shaded area.
 985 Thermal weakening distance can be determined from D_c , τ_p , and τ_{ss} using Eq. S1 and S2.



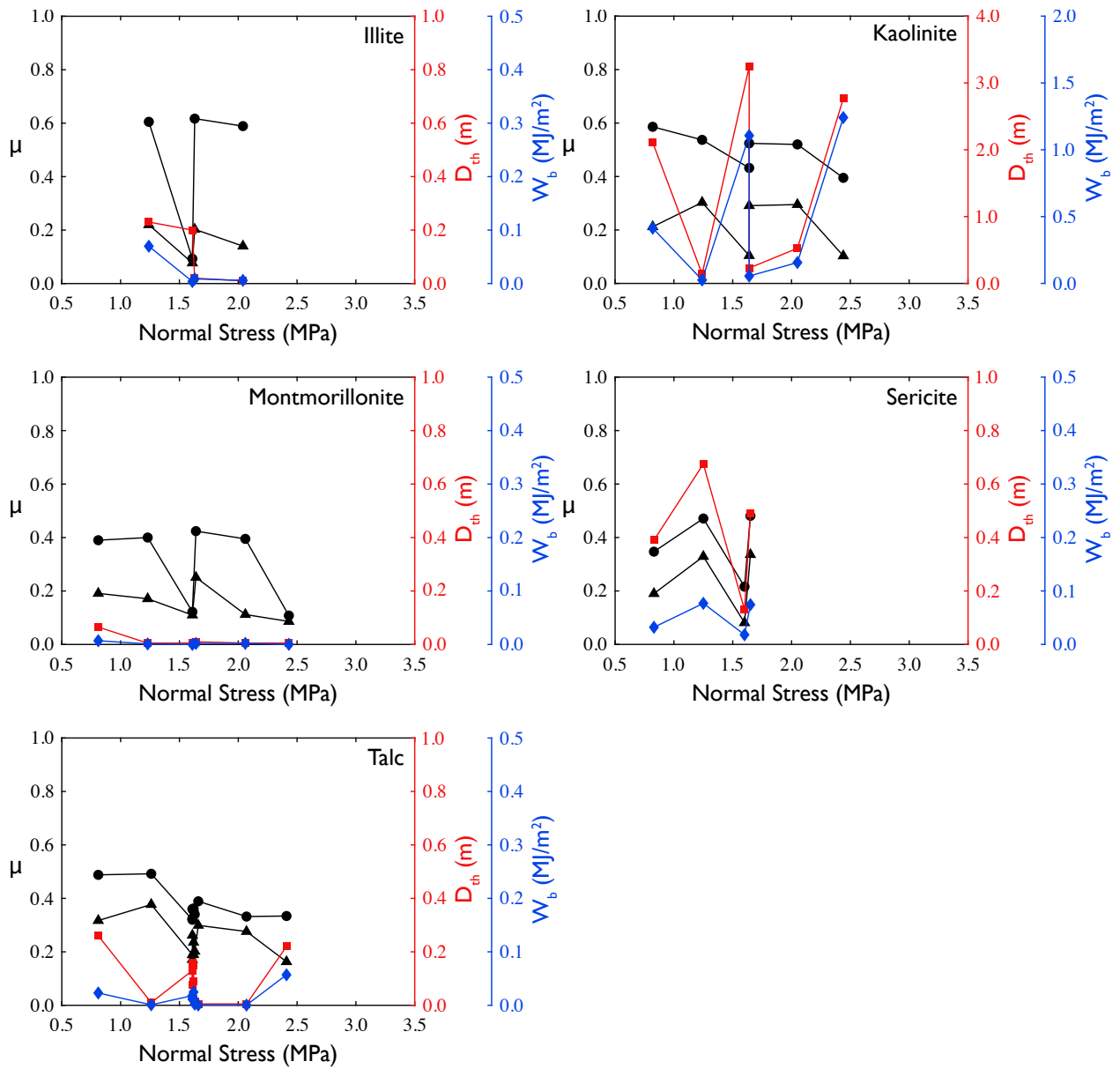
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987 **Fig. S6.** Friction coefficients of individual clay species. Shear stress scaling with normal stress for
 988 peak shear stress (circles) and steady state shear stress (triangles). Friction coefficients for each
 989 sample are defined as the linear scaling between shear stress and normal stress and are determined
 990 from the peak shear stress (solid lines) and steady state shear stress (dotted lines).



991

992 **Fig. S7.** Friction and fracture energy parameters from experiments conducted on individual clay
 993 species under dry conditions.



994

995 **Fig. S8.** Friction and fracture energy parameters from experiments conducted on individual clay
 996 species under wet conditions.

997 **Table S1.** Composition of core samples from Cascadia from XRD analysis and Rietveld
998 refinement

Sample	Qz (%)	Fsp (%)	Clays (%)
888B-62X-2	20	35	45
1027B-03H-3	30	35	35
1027B-53X-2	20	35	45

999

1000 **Table S2.** Composition of individual clay species samples from XRD analysis

Sample	Purity	Other components	Supplier/source
Illite	44%	Quartz 31%, calcite 24%, chlorite 1%	Peach Pig illite clay, Japan
Pyrophyllite	49%	Quartz 32%, kaolinite 14%, muscovite 5%	Nakarai Chemicals, Japan
Montmorillonite	77%	Quartz 15%, albite 8%	Na-bentonite, Yamagata Prefecture, Japan
Sericite	85%	Calcite 13%, chlorite 2%	JCSS-5101, Japan
Talc	88%	Chlorite 9%, quartz 2%, dolomite 1%	J.T. Baker, USA

1001

1002 **Table S3.** Compilation of laboratory data from high velocity rotary shear experiments

1003