

1 **Corrugated megathrust revealed offshore Costa Rica**

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3 **Joel H. Edwards\*<sup>1</sup>, Jared W. Kluesner<sup>2</sup>, Eli A. Silver<sup>1</sup>, Emily E. Brodsky<sup>1</sup>, Daniel S.**  
4 **Brothers<sup>2</sup>, Nathan L. Bangs<sup>3</sup>, James D. Kirkpatrick<sup>4</sup>, Ruby Wood<sup>1</sup>, Kristina**  
5 **Okamoto<sup>1</sup>**

6

7 <sup>1</sup>Department of Earth and Planetary Sciences, University of California, Santa Cruz, Santa  
8 Cruz, California 95064, USA

9 <sup>2</sup>Pacific Coastal and Marine Science Center, US Geological Survey, Santa Cruz,  
10 California 95060, USA

11 <sup>3</sup>Institute for Geophysics, University of Texas at Austin, PRC 196, 10100 Burnett Rd.,  
12 Austin TX, USA

13 <sup>4</sup>Earth and Planetary Sciences, McGill University, 3450 University Avenue, Montreal,  
14 Quebec H3A 0E8, Canada

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16 **Exhumed faults are rough, often exhibiting topographic corrugations oriented in the**  
17 **direction of slip; such features are fundamental to mechanical processes that drive**  
18 **earthquakes and fault evolution. However, our understanding of corrugation**  
19 **genesis remains limited due to a lack of *in situ* observations at depth, especially at**  
20 **subducting plate boundaries. Here we present 3D seismic reflection data of the**  
21 **Costa Rica subduction zone that image a shallow megathrust fault characterized by**  
22 **(1) corrugated and (2) chaotic and weakly corrugated topographies. The corrugated**  
23 **surfaces extend from near the trench to several km down dip, exhibit high reflection**

24 **amplitudes (consistent with high fluid content/pressure) and trend 11–18° oblique to**  
25 **subduction, suggesting 17 – 27 mm/yr of trench-parallel slip partitioning across the**  
26 **plate boundary. The corrugations form along portions of the megathrust with**  
27 **greater cumulative slip and may act as fluid conduits. In contrast, weakly**  
28 **corrugated areas occur adjacent to active plate bending faults where the megathrust**  
29 **has migrated up-section, forming a nascent fault surface. The variations in**  
30 **megathrust roughness imaged here suggest that abandonment and then**  
31 **reestablishment of the megathrust up-section transiently increases fault roughness.**  
32 **Analogous corrugations may exist along significant portions of subduction**  
33 **megathrusts globally.**

34         Faults at field and laboratory scales are observed to be non-planar, or rough, and  
35 at earthquake scales (kilometers), are inferred to be irregular and heterogenous<sup>1-4</sup>.  
36 Exhumed fault surfaces commonly display corrugations or striations parallel to the slip  
37 direction<sup>5,6</sup> that are observed across a broad range of spatial scales<sup>7,8</sup> (µm to km),  
38 mechanical media, and geologic environments<sup>9-12</sup>. For example, slip corrugations are  
39 observed along the interfaces between fast-flowing ice streams and underlying  
40 sediments<sup>11,13</sup>. The mechanical processes proposed for corrugation formation are diverse,  
41 including: asperity ploughing and abrasion, debris streaking, and fracture/fault branching  
42 and linkage, among others<sup>14-16</sup>. Despite a general recognition that corrugations play a  
43 fundamental role in the behavior of faults, to our knowledge, well-defined corrugations  
44 have not been observed *in situ* at seismogenic depths along a fault surface, including  
45 across an interface of subducting tectonic plates.

46           The recent 2011  $M_w$  9 Tohoku-Oki earthquake demonstrated that coseismic slip  
47 can propagate all the way to the trench, and that maximum slip can occur along the  
48 shallowest portions of the megathrust<sup>17</sup>. Subsequent work has shown that ruptures  
49 propagate farther along smoother faults due to smaller stress heterogeneity<sup>18</sup> and fewer  
50 adjacent fracture networks available for off-fault slip<sup>19</sup>. Thus, greater fault roughness is  
51 thought to inhibit rupture propagation and has been inferred to do so offshore Costa Rica,  
52 where a zone of seamounts, plateaus and ridges are subducting, and the earthquake record  
53 lacks evidence for historic shallow coseismic slip<sup>20,21,19</sup>. We map with unprecedented  
54 spatial resolution the shallow 3D megathrust offshore Costa Rica and demonstrate *in situ*  
55 heterogeneity in the fault structure.

#### 56           **Megathrust morphology from 3D seismic reflection data**

57           Here we utilize a 2011 3D depth-migrated seismic reflection volume offshore the  
58 Osa Peninsula of southern Costa Rica<sup>22,23</sup>, along the northwest portion of the Cocos  
59 Ridge, where the Cocos Plate dives below the Caribbean Plate. The 3D volume images  
60 the megathrust at 12.5 x 18.75 m horizontal resolution (binning size) and ~5 – 15 m  
61 shallow vertical resolution<sup>24</sup>. Within the depth-migrated volume we mapped the  
62 megathrust (Figures 1-2) utilizing post stack processing, filtering<sup>25</sup> and amplitude-driven  
63 tracking techniques<sup>26</sup> commonly used in oil and gas exploration. The megathrust was  
64 differentiated by both its polarity and structural position, namely that it either separates  
65 landward-dipping reflections from underlying subhorizontal reflections or that it cuts  
66 across and through landward-dipping reflections (Figure 2b-e). We corroborate this  
67 interpreter-driven result with independently derived volumetric attributes, such as  
68 apparent dip<sup>27</sup> and curvature<sup>28</sup>, that extract subtle geometric variations of features from

69 trace to trace to better constrain the detailed megathrust morphology (Figure 3). The  
70 resulting surface is the best-resolved 3D perspective of any shallow megathrust to date. It  
71 reveals a plate interface with remarkable detail and contrasts, varying from 1) smooth and  
72 well-developed to 2) rough and weakly-developed (Figures 1-3). Furthermore, the  
73 smooth and well-developed portions are corrugated, with corrugations that are meters to  
74 tens of meters high, extend kilometers along their long axes (length) and hundreds of  
75 meters across their short axes (width). The corrugated portions also exhibit high reflector  
76 amplitudes and reversed polarity relative to the seafloor (Figure 1-4).

77         The corrugations are observed within hundreds of meters (>200-600 m) from the  
78 up-dip extent of the megathrust and can be seen extending down-dip >5 km to plate  
79 bending faults (~1.4 km below seafloor; Figures 2-3). At these shallow depths,  
80 corrugation distribution is heterogenous with the shallow central and eastern portions of  
81 the megathrust having a relatively chaotic morphology that lacks well-defined  
82 corrugations (Figures 1-3). These shallow chaotic portions generally coincide with places  
83 where the megathrust has propagated up section (relative to its original position) through  
84 tilted, fractured and consolidated strata of the frontal prism<sup>29,30</sup>, capturing upper plate  
85 material and transferring it to the subducting plate (frontal prism erosion; Figure 2b, d  
86 and e). These newly propagated portions of the megathrust spatially coincide with large-  
87 offset (~>200 m) plate bending faults, either propagating down dip for landward-dipping  
88 faults or up dip for seaward-dipping faults (Figure 2). Several local plate bending faults  
89 seem to be propagating into the overlying frontal prism, possibly due to delayed initial  
90 plate bending that is landward of the trench rather than seaward of the trench. In contrast,  
91 normal faults are typically first observed at the outer rise, i.e., outer trench wall, along

92 other Pacific convergent margins<sup>31</sup> (Figure 2). Newly propagated portions of the  
93 megathrust generally form proximal to plate bending faults with offsets  $\sim >200$  m,  
94 although an exception is within the most SE portion, where trench-parallel offsets are  
95  $<200$  m, even down to  $<100$  m. This exception could be due to lateral propagation (along  
96 strike) of the new megathrust from the central area. Regardless, because these newer  
97 portions of the megathrust have accommodated small amounts of slip, they have not  
98 developed a well-defined surface, resulting in lower amplitude and relatively chaotic  
99 seismic reflections (Figure 2). These portions lack well-defined corrugations (Figures 1-  
100 3).

#### 101 **Scale of corrugations**

102 We extracted corrugation widths and heights across the megathrust horizon. The  
103 corrugations have a median width and height of 160 m and 7 m, with a range of 113 –  
104 729 m and 2.7 – 53 m (Figure 4). The corrugations are at a similar scale to structures  
105 along other large-scale displacement interfaces, including intermediate-scale corrugations  
106 along onshore and offshore low-angle detachments faults<sup>9,10,32,33</sup> and mega-scale glacial  
107 lineations<sup>11</sup>. A best fit linear trend to the data gives a height/width aspect ratio of 0.08.  
108 This value of 0.08 is slightly larger than observed for terrestrial fault exposures<sup>16</sup>,  
109 although it may be biased high because of detectability limitations<sup>34</sup>. Heights less than the  
110 theoretical vertical resolution of  $\sim 5$  m are observable due to the 3D nature of the data. In  
111 this case, the corrugations generally extend hundreds of meters to kilometers, extending  
112 beyond the Fresnel zone (horizontal resolution), making heights  $<5$  m detectable. Figure  
113 4 reports heights as low as 2.7 m. These values indicate that significant height

114 corrugations exist that could be important for producing seismic waves, channeling fluids  
115 and controlling tremor locations as inferred from previous studies of exhumed faults<sup>8,16,35</sup>.

### 116 **Corrugation genesis**

117 We observe several consistencies with outcrop fault corrugations<sup>5-8</sup>. The  
118 corrugations are not imaged along underthrusting, undeformed strata or in the overlying  
119 frontal prism (Figure 2b-e). The corrugations do not coincide with truncations and/or  
120 offset of reflections below or above the megathrust (i.e., are not coincident with trench  
121 perpendicular faulting; Figure 2b-e). Furthermore, they are oriented  $\sim 11 - 18^\circ$  clockwise  
122 from plate motion vectors<sup>36,37</sup>, making them more orthogonal to the trench and more  
123 closely aligned with regional earthquake slip vectors<sup>38</sup>. Based on these observations, and  
124 in conjunction with their continuity, distribution and scale, we interpret the corrugations  
125 to be non-penetrative slip lineations that form due to slip along the plate interface.

126 What slip processes drive their formation is less clear. We have imaged discrete  
127 features (we call knobs in Figures 1-3) that are at a similar scale as most of our observed  
128 corrugations (Figure 4). These knobs could act as asperities that groove or furrow  
129 adjacent rock, analogous to groove-ploughing theories<sup>14,15</sup>; however, they lack detectable  
130 corrugations in their wake (Figure 2). Alternatively, could processes thought to control  
131 meter-scale roughness, such as anastomosing and linking slip surfaces that form lenses<sup>16</sup>,  
132 scale up to these hundreds of meters wide corrugations? Detailed 3D imaging of *in situ*  
133 corrugations observed here extend those observed at outcrop scales and those observed  
134 along other mechanical media and provide a new dataset for future quantitative  
135 investigations.

### 136 **Implications for forearc translation**

137 Previous work has shown compelling evidence for strain partitioning along the  
138 Costa Rica margin<sup>38,39</sup>, resulting in a forearc that is being translated predominantly  
139 northwestward (trench parallel). Using the orientation of two prominent troughs from the  
140 NW and SE megathrust as slip directions, and MORVEL plate velocities<sup>36,37</sup>, we  
141 constrain the rate of northwestward translation offshore Osa to  $\sim 17 - 27$  mm/yr (Figure  
142 3d). These rates are higher than previous rates of  $11 - 17$  mm/yr from Costa Rica to  
143 Guatemala<sup>37,38</sup>. We also observe a relatively continuous counter-clockwise rotation of  
144 slip,  $\sim 7^\circ$ , from the southeastern to northwestern portion (away from the Cocos Ridge),  
145  $\sim 11$  km along strike (Figures 2-3). The counter-clockwise rotation fits the regional trend  
146 of rotation of slip away from the Cocos Ridge, as seen in slope seamount scars and GPS  
147 derived velocity fields<sup>39</sup>. Our observed counter-clockwise rotation of slip and lower  
148 trench parallel rates away from the Cocos Ridge support the model of the Cocos Ridge  
149 acting as a rigid indenter that drives tectonic escape and trench parallel motion<sup>37,39</sup>, even  
150 in areas where convergence is nearly orthogonal (southern Costa Rica).

### 151 **Implications for earthquakes**

152 These new observations demonstrate several important processes. They show that  
153 the megathrust is smoothed as it accumulates slip (i.e., matures), aligning with results  
154 seen in outcrop<sup>40</sup>, and that slip develops corrugations at similar scales to corrugations  
155 seen along exhumed faults in other environments<sup>9,10,32,33</sup>. The well corrugated portions  
156 produce notably higher amplitude negative polarity reflections, which have been linked to  
157 higher fluid content in these environments<sup>23</sup>. Furthermore, within these fluid-rich  
158 corrugated portions, we observe streaks of low amplitudes (Figure 3c), which correspond  
159 to troughs of larger individual corrugations (e.g., Troughs NW and SE; Figure 2b and 2c).

160 These observations, coupled with findings from offshore Nicoya<sup>30</sup>, suggest that as fluids  
161 ascend to, or move along, the nonplanar and corrugated megathrust, they are bounded by  
162 its low cross-fault permeability and thus migrate from local lows (troughs) to local highs  
163 (ridges). This could facilitate linear zones of varying pore-fluid pressures from troughs to  
164 ridges, which have been appealed to at greater depths in prior work related to slip-parallel  
165 streaking of tremor<sup>35</sup>.

166 It is not clear whether historical earthquakes offshore Costa Rica have slipped to  
167 the trench (e.g., 1983 Osa Earthquake  $M_w=7.4^{41}$ ). However, well recorded earthquakes,  
168 like the 2012 Nicoya Earthquake  $M_w=7.6$  or the 2002 Osa Earthquake  $M_w=6.4$  (nucleated  
169 only at ~6 km depth and ~25 km from the trench), do not seem to have done so<sup>20,42</sup>. Our  
170 data show that the shallow, smooth and corrugated portions of the megathrust are  
171 bordered by younger and rougher generations of the megathrust cutting through the base  
172 of the overlying plate. If rougher and/or immature faults inhibit rupture propagation<sup>18,19</sup>,  
173 our data may show why deeper coseismic slip offshore Costa Rica does not propagate to  
174 the trench and why earthquakes there seem to have multiple rupture patches<sup>19</sup>.  
175 Furthermore, because continued plate bending faulting with subduction is seen at other  
176 convergent margins<sup>43</sup>, our results provide a means to assess the tendency for shallow  
177 coseismic slip elsewhere.

178 Novel technology and workflows<sup>25-28</sup> applied to a 3D pre-stack depth-migrated  
179 volume of a subduction zone have made it possible to document *in situ* corrugations  
180 along a megathrust within an active subduction zone for the first time. These findings  
181 also have important implications for the net exchange of materials under the frontal prism  
182 and more broadly the exchange of material at a margin thought to be erosive. Finally,



183 because corrugations are observed across the entire width of the 3D volume, we speculate  
184 that analogous corrugations exist along portions of subduction megathrusts globally. The  
185 previous hypotheses proposing that corrugations control slip and fluid behavior on the  
186 plate interface appear to be well-founded<sup>35,44</sup>.

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302

303 **Author contributions**

304 J.W.K., E.A.S. and N.L.B. obtained financial support for the marine seismic reflection  
305 program and collected and processed the seismic data. J.H.E. applied post processing,  
306 performed amplitude-driven tracking and extracted geometric attributes along the shallow  
307 megathrust. E.E.B. called attention to the corrugations. D.S.B. and J.D.K. furthered  
308 analysis of the corrugations. R.W. and K.O. extracted the scale of the corrugations. J.H.E.  
309 wrote the manuscript with contributions from all other authors.

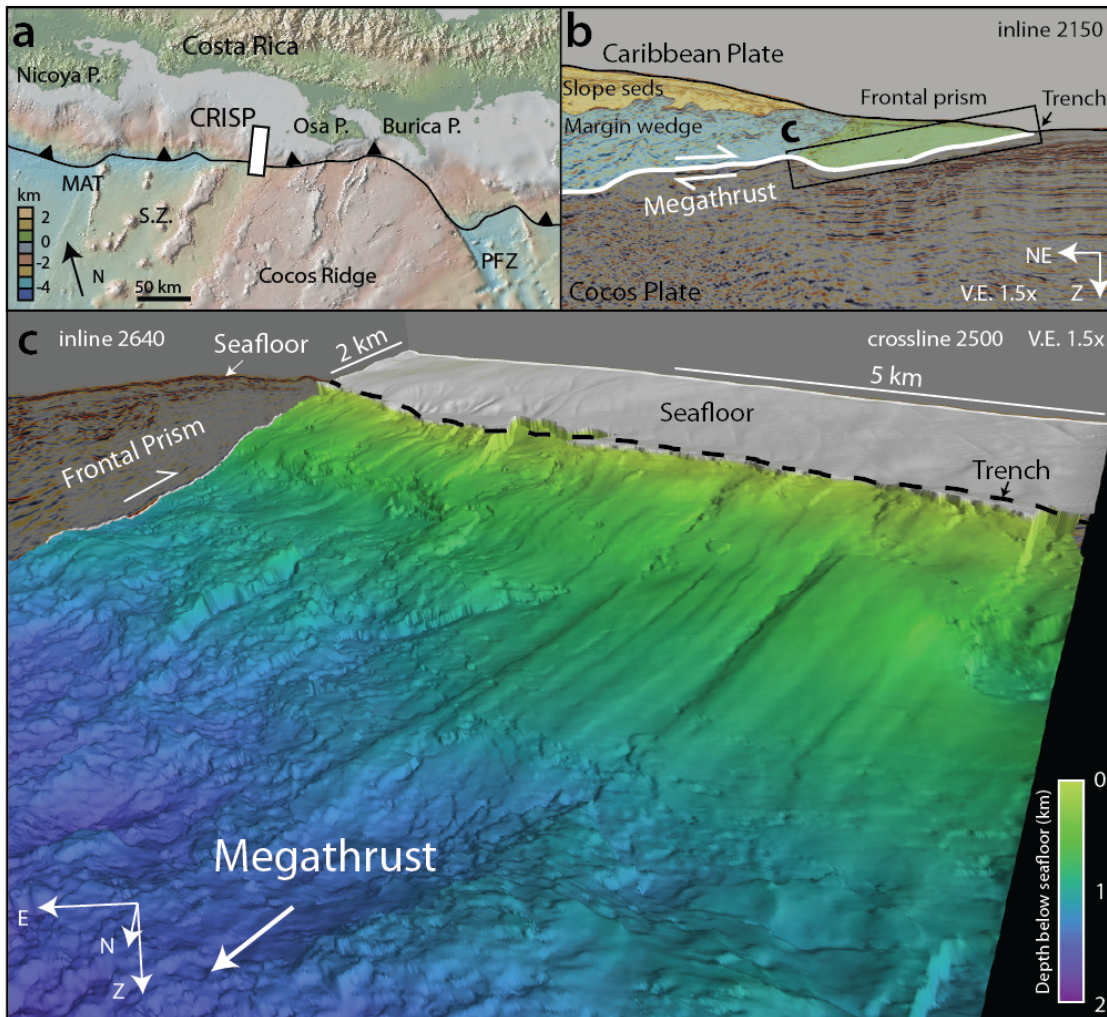
310

311 **Competing financial interests**

312 The authors declare no competing financial interests.

313

314 **Figure Captions**



315

316 **Figure 1 | Tectonic setting, seismic reflection profile and upslope perspective view of**

317 **the megathrust. a**, Topographic shaded relief map of the Costa Rica margin (from

318 Global Multi-Resolution Topography (GMRT) synthesis within GeoMapApp<sup>45</sup>). The

319 Middle American Trench (MAT) is shown with a black line and black triangles on the

320 upper plate. 2011 The coverage of the 3D seismic reflection volume (CRISP) is shown

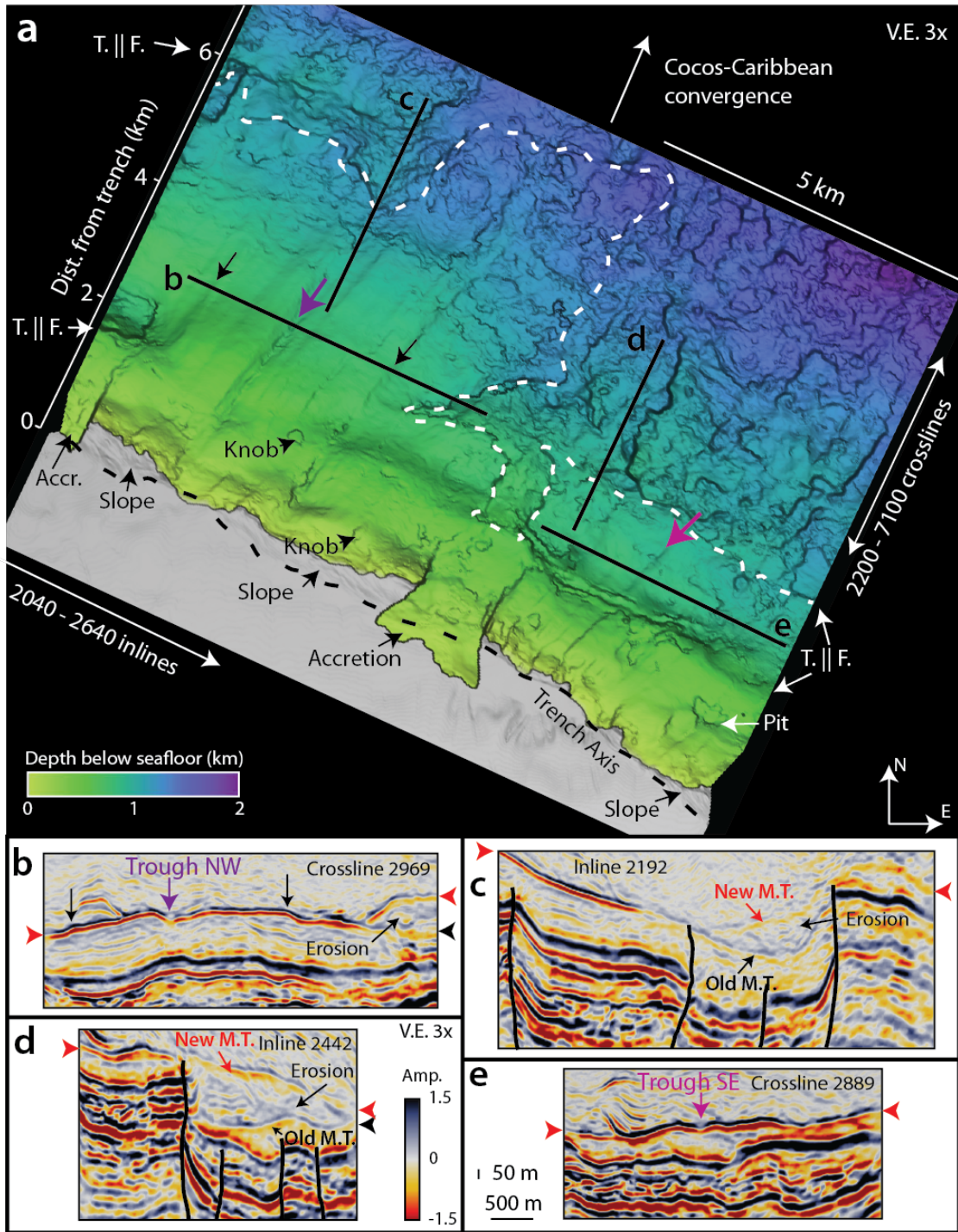
321 with a white rectangle. **b**, Inline 2150 from the CRISP volume is showing the trench,

322 frontal prism (green) and outer wedge with interpreted sections of slope sediments

323 (yellow) and margin wedge (blue). **c**, Perspective view of the shallow megathrust looking

324 seaward toward the trench and the frontal prism has been cut away. Inline 2640 (frontal  
325 prism) is shown for reference. Color scale is kilometers (km) below seafloor and grey  
326 denotes the seafloor. V.E. is vertical exaggeration.  
327





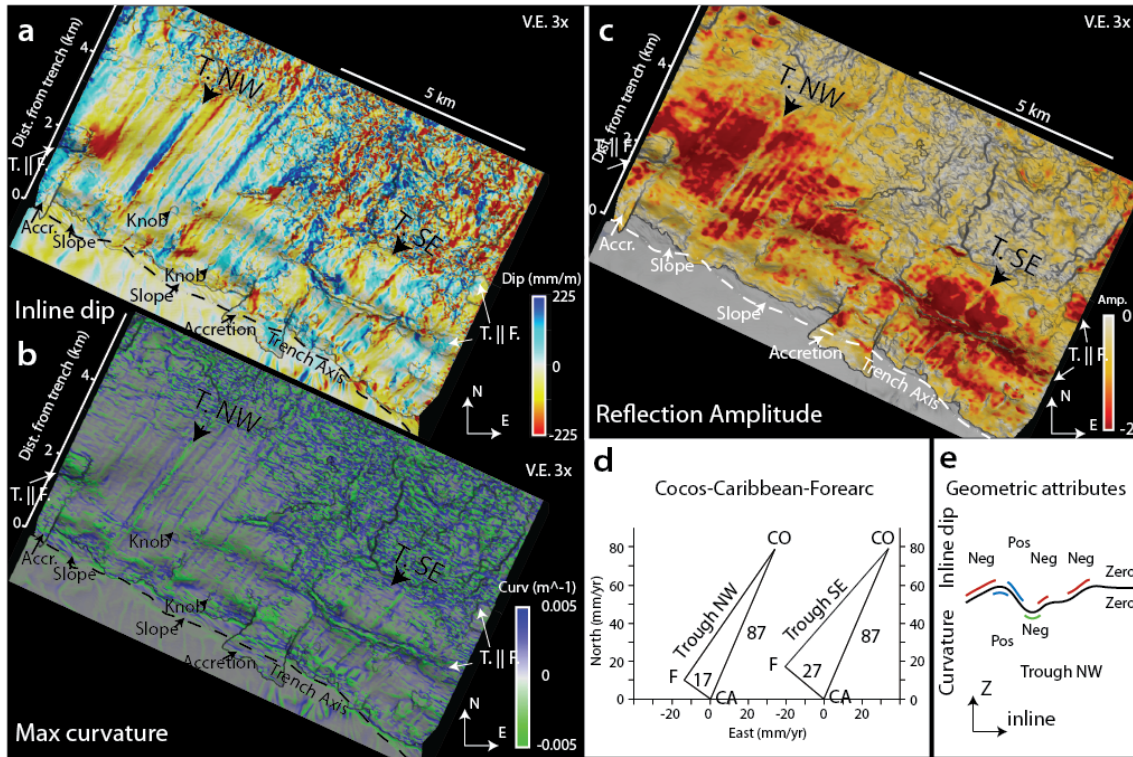
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329 **Figure 2 | Map view of depth below seafloor and seismic reflection images of shallow**

330 **megathrust. a**, Map view of shallow megathrust with depth below seafloor (km) overlain

331 in greens (thinner) to blues (thicker). Trench is shown with dashed black line.

332 Approximate boundary from corrugated to weakly corrugated is shown with dashed  
333 white line. Black solid lines denote locations of inlines and crosslines shown in b-e.  
334 Inline numbers increase from left to right and crossline numbers increase from bottom to  
335 top. Black arrows denote prominent ridges in map view and in b. Purple arrow is  
336 prominent trough labeled Trough NW in map view and in b. Pink arrow is Trough SE in  
337 map view and in c. T||F is trench parallel faults. **b**, Crossline 2969 showing depth section  
338 of corrugated megathrust with prominent troughs (including Trough NW) and ridges.  
339 Note low amplitude reflection at center of Trough NW. **c-d**, Inlines 2192 and 2442  
340 showing depth sections of down dip portion of corrugations where the megathrust steps  
341 up section in relation to large offset trench parallel faults (shown with white dashed  
342 lines). **e**, Crossline 2889 showing depth section of corrugations within the southeast  
343 portion, including Trough SE. Amplitude reflection color scale and vertical and  
344 horizontal scale is shown between panels d and e. M.T. is megathrust. Red arrowheads  
345 denote active megathrust and black arrowheads denote former megathrust Note change of  
346 megathrust reflection amplitude along well corrugated versus weakly corrugated portions.  
347

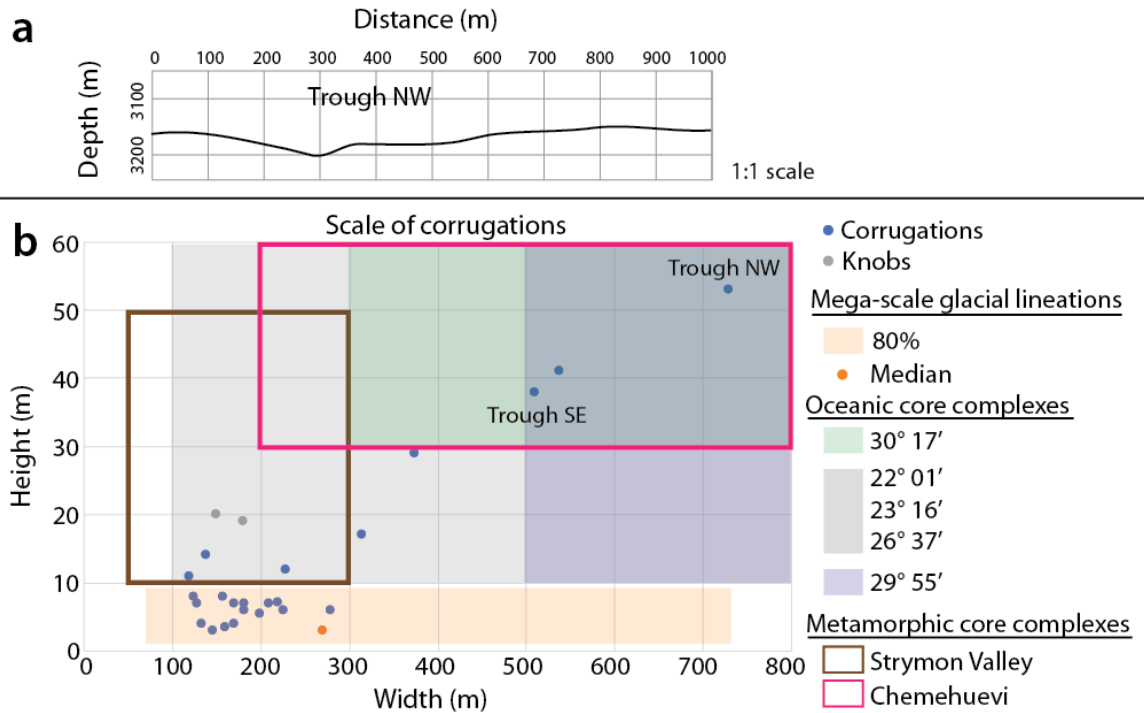


348

349 **Figure 3 | Map view of dip, curvature and reflection amplitude along megathrust,**  
 350 **linear velocity diagram and a reference dip/curvature diagram. a-c, Inline dip,**  
 351 **maximum curvature and reflection amplitude extracted along the picked megathrust**  
 352 **horizon. Note that with inline dip values, blues and reds meet along the axes of troughs**  
 353 **and ridges, i.e., inline dip highlights the sides of dipping features. Whereas with**  
 354 **maximum curvature, trough and ridge axes are highlighted by greens (troughs) and blues**  
 355 **(ridges). Note how sensitive maximum curvature is to more chaotic portions of the**  
 356 **megathrust and note linear streaks of low amplitudes along corrugation troughs. d, Linear**  
 357 **velocity diagram of trench parallel slip from the orientations of Trough NW and Trough**  
 358 **SE. CO is Cocos Plate, CA is Carribbean Plate, and F is Forearc. e, Reference diagram**  
 359 **for inline dip and curvature. For inline dip, blues dip to the SE and reds dip to the NW.**  
 360 **For curvature, greens denote troughs and blues denote ridges.**

361

362



363

364 **Figure 4 | Scale of corrugations. a,** A 1:1 scale of Trough NW for reference. **b,** Widths  
 365 and heights plotted of corrugations and knobs from megathrust. Overlain are the ranges  
 366 of corrugations from mega-scale glacial lineations<sup>46</sup> (80% of measurements and median  
 367 value), oceanic core complexes<sup>32</sup> (intermediate scale) and metamorphic core  
 368 complexes<sup>10,33</sup>.

369

### 370 **Methods**

371 **3D seismic reflection data.** The 3D seismic reflection dataset was acquired aboard the  
 372 *R/V Marcus G. Langseth* in 2011 using a source of two 27-gun arrays spaced 75 m apart  
 373 and four 6 km long streamers spaced 150 m apart. The two 27-gun array fired every 25 m  
 374 in flip-flop mode and had a volumetric displacement of 3200 liters. Each streamer  
 375 consisted of 468 channels with 12.5 m channel spacing. Data were recorded for 8 s at a 2-  
 376 ms sample rate. Subsequent processing of the data removed multiples and suppressed

377 noise using normal seismic processing workflows, including: high pass and band pass  
378 filtering, noisy trace removal, spherical divergence correction, amplitude gain control,  
379 velocity analysis, deconvolution, stacking and a post-stack time migration performed by  
380 CGGVeritas and Repsol in Madrid, Spain. These data were then used to generate a 3D  
381 velocity model that was utilized in a full pre-stack depth migration performed by Repsol  
382 in The Woodlands, TX. The resulting depth-migrated dataset consists of 12.5 x 18.75 m  
383 bins with ~60 fold and images the interface between the Cocos and overlying Caribbean  
384 plates down to depths >10 km.

385

386 **Post processing data conditioning.** Dip and azimuth data were calculated for every  
387 sample along every trace within the volume using the Fast Fourier Transform (FFT)  
388 algorithm within OpendTect v6.0.6 software<sup>26</sup>. The FFT iteratively transformed a moving  
389 sub-cube of 5x5x5 samples (inlines x crosslines x depth; relative to the sample and trace  
390 of interest) into the 3D Fourier Domain and found samples along adjacent traces with the  
391 same phase within the designated window. Once adjacent samples with the same phase  
392 are found, the apparent dip and azimuth (either inline or crossline direction) are recorded  
393 for that sample along that trace. This results in 3D surfaces of constant phase as recorded  
394 by a 3D volume of apparent dip and azimuth data, referred to as a steering cube, that  
395 should represent apparent geologic structure. This steering cube was then used to guide a  
396 2x2 median filter that smoothed amplitudes and removed noise. With the 2x2 median  
397 filtered data, another iteration of FFT 3x3x5 was performed, resulting in a smoother, less  
398 noisy steering cube that preserves structure.

399

400 **Megathrust mapping.** Mapping efforts were performed within OpendTect v6.0.6 on the  
401 2x2 median filtered data and were augmented by the FFT 3x3x5 steering cube. Mapping  
402 was done using an iterative workflow of interpreter picks and amplitude-driven auto  
403 tracking: 1) Pre-load an area of interest with the 2x2 median filtered data, 2) load inlines  
404 and crosslines, 3) start/load megathrust horizon, 4) pick several samples along  
405 megathrust, termed seeds, that are auto tracked along that inline or crossline, 5) adjust  
406 amplitude-driven auto tracking parameters, in this case, we used correlation threshold  
407 values that ranged from ~60-95% (algorithm compared the amplitude of the last tracked  
408 pick to the next candidate pick), and search windows of ~10-50 m, 6) 3D auto track, 7)  
409 QC auto tracked horizon, undo or delete errant portions, adjust picks and/or auto tracking  
410 parameters and re-track, 8) lock tracked seeds and repeat. During amplitude-driven  
411 tracking, a sub-sample depth value (<5 m) is achieved by fitting a quadratic polynomial  
412 to a series of 5 m sample points. Once the megathrust is tracked, the horizon is gridded to  
413 fill in remaining holes, using an algorithm that is guided by the steering cube.

414

415 **Volumetric attributes.** Apparent inline and crossline dips were calculated for data  
416 conditioning and contained within the steering cube. Positive inline dips are to the SE  
417 (increasing inlines) and negative to the NW (decreasing inlines). Positive crossline dips  
418 are to the NE (increasing crosslines) and negative crossline dips are to the SW  
419 (decreasing crosslines). Maximum curvature is derived from the apparent dip volume  
420 contained in the steering cube by using it to estimate local 3D surfaces for each sample in  
421 the volume with a 3x3 least squares fit grid. Using these 3x3 surfaces, maximum

422 curvature is calculated for every sample using simple arithmetic approximations and  
423 mean and Gaussian curvatures<sup>28</sup>.  
424

425 **Measuring corrugations.** Geometries were measured manually with graphical tools in  
426 Matlab and OpendTect. In Matlab, troughs and ridges were extracted from xyz elevation  
427 data using a 20 by 20 element moving window to average all z values within the square  
428 neighborhood for every element in the matrix. These neighborhood averaged z values  
429 were then subtracted from the original elevation data. The resulting matrix consists of  
430 positive values where the central z value is greater than the neighborhood average and  
431 negative when less, corresponding to topographic highs and lows respectively. This  
432 differencing matrix helped constrain troughs and ridges. The widths of each is  
433 determined as the distance from trough to trough or peak to peak, and the amplitudes as  
434 the difference in elevation between the peak and trough, as measured graphically in  
435 Matlab and OpendTect. In Matlab, widths and amplitudes were extracted along the  
436 horizon and in OpendTect, widths and amplitudes were measured along selected  
437 crosslines. Measurements in Matlab were corroborated by measurements in OpendTect  
438 and vice versa. ~30 total bedforms were measured at discrete points along the megathrust  
439 (i.e., a representative part of the corrugation was measured). Two amplitudes <1 m were  
440 excluded.  
441